



ONCON ICON AWARD FINALISTS

TOP 50 CORPORATE COUNSEL AWARD

Please use this document to assist with your voting for the Top 50 Corporate Counsel Award.

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45. [Esther Lim](#) | General Counsel, ASEAN & Bottling Investment Group | Coca-Cola
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47. [Gordon Kessler](#) | General Counsel, Chief Administrative Officer | AiCure, LLC
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50. [Horace Dawson](#) | EVP and General Counsel | Red Lobster Seafood Co.
51. [Howard Hirsch](#) | Chief Legal Officer | Griffin Capital Essential Asset REIT
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60. [Jeff Mengoli](#) | General Counsel | BigCommerce, Inc.
61. [Jeffery Craig](#) | Vice President and Legal Counsel | MGM Resorts
62. [Jeffrey Kramp](#) | Executive VP, Secretary & General Counsel | Patriot Media Consulting (RCN, Grande & Wave Cable TV Systems--combined, 6th largest USA cable operator)
63. [Jeffrey Otto](#) | Senior Vice President & Counsel | Safety National Casualty Corp.
64. [Jim Savina](#) | EVP, General Counsel, and Corporate Secretary | Wyndham Destinations
65. [Joan Budd](#) | General Counsel | Cable TV of East Alabama / CTV Beam
66. [John Michael \("Mike"\) Prairie](#) | VP and General Counsel | Nitto, Inc.

67. [Jonathan Kuai](#) | General Counsel, Corporate Secretary and Managing Director - Business Affairs | Korn Ferry
68. [Jordan Zamir](#) | Vice President and General Counsel | Minted
69. [Joseph Accardo](#) | VP Regulatory & Deputy General Counsel | PSEG
70. [Joseph Kamer](#) | Senior Vice President, General Counsel & Secretary | Tempur Sealy International, Inc.
71. [Josephine Benkers](#) | General Counsel | Plano Synergy
72. [Julia Harmatz](#) | General Counsel | efi
73. [Kenneth Thomson](#) | Vice President of Operations and General Counsel | United Energy Trading, LLC
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77. [Kimberly Siclari](#) | Senior Vice President & General Counsel | Devon Self Storage Holdings (US) LLC
78. [Kristen Erler](#) | Vice President, General Counsel | Pariveda Solutions, LLC
79. [Kristen Fancher](#) | Chief Legal Officer & General Counsel | Margaritaville Enterprises, LLC
80. [Kristen Gizzi](#) | General Counsel | ECCO III Enterprises, Inc.
81. [Kristie Blumenschein](#) | General Counsel | Geoforce, Inc.
82. [Larissa Zagustin](#) | Senior Vice President- General Counsel- Americas | Viacom International Media Networks
83. [Larry Parsons](#) | Senior Vice President and Chief Legal and Risk Officer | McLane Company, Inc.
84. [Larry Samuelson](#) | Senior Vice President, General Counsel and Corporate Secretary | Cvent
85. [Laurie Nelson](#) | General Counsel and Chief Compliance Officer | Autoscribe Corporation
86. [Lisa Prager](#) | General Counsel | Agricultural Bank of China
87. [Lynn fieldhouse](#) | VP and General Counsel, Chief Litigation Risk Officer | Signature Healthcare
88. [Marc Alpert](#) | Senior Vice President, General Counsel and Corporate Secretary | Loews Corporation
89. [Marc Yudkin](#) | General Counsel and Chief Legal Officer | VaynerMedia, LLC
90. [Marcus Reed](#) | General Counsel | Craft Brew Alliance
91. [Mark Fischer](#) | Executive Vice President, General Counsel & Secretary | PVH Corp.
92. [Mark Norych](#) | President/General Counsel | Arbitration Resolution Services Inc
93. [Mark Smolik](#) | General Counsel & Chief Compliance Officer | DHL Supply Chain Americas
94. [Matt Fawcett](#) | General Counsel | NetApp
95. [Matthew Geekie](#) | Senior Vice President, Secretary and General Counsel | Graybar Electric Company, Inc.
96. [Michael Dowdle](#) | SVP Business Affairs and General Counsel | Bonneville International Corporation
97. [Michael Ellis](#) | Group General Counsel | Abercrombie & Kent
98. [Michael Fahey](#) | Deputy General Counsel | HomeServe USA Corp.
99. [Michael Folks](#) | Senior Vice President and General Counsel | Captain D's, LLC
100. [Michael Munro](#) | General Counsel | Madonna Rehabilitation Hospital
101. [Michael Richman](#) | General Counsel | CAIS
102. [Michael Silverman](#) | General Counsel | Duane Morris LLP
103. [Michael Stephens](#) | General Counsel & EVP Legal Affairs | Hillsborough County Aviation Authority
104. [Michaela Jandova](#) | General Counsel Central Europe | Deloitte Central Europe Service Centre s.r.o.
105. [Michelle Fang](#) | Chief Legal Officer | Turo Inc.
106. [Moses Vargas](#) | Vice President and General Counsel | Connecticut Children's Medical Center

107. [Nadia Dombrowski](#) | SVP, General Counsel & Corporate Secretary | Community Federal Savings Bank
108. [Nancy Washington](#) | SVP, General Counsel and Chief Compliance Officer | New Jersey Resources Corporation
109. [Nasym Afsari](#) | General Counsel | Montrose Environmental Group, Inc.
110. [Nicole Liebman](#) | General Counsel | WithumSmith+Brown, PC
111. [Norman Wain](#) | General Counsel, Chief of Business & Legal Affairs | USA Track & Field, Inc.
112. [Otis Carter](#) | General Counsel & Corporate Secretary | TriMark USA
113. [Paul Ferdenzi](#) | Vice President, General Counsel and Corporate Secretary | Curtiss-Wright Corporation
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115. [Rana Dershowitz](#) | Senior Vice President, Real Estate and Chief Legal Officer | Aspen Skiing Company
116. [Randi Booth](#) | Senior Vice President, General Counsel & Corporate Secretary | Intertape Polymer Group Inc.
117. [Ravi Upadhyay](#) | Head Legal | Ameriprise India Private Limited
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122. [Richard Sedory](#) | General Counsel | Wastequip
123. [Richard Wingate](#) | VP and General Counsel | Electronics USA , Inc
124. [Robert Alin](#) | Senior vice president, secretary & general counsel | Pentegra Services, Inc.
125. [Ross Friedberg](#) | General Counsel | Doctor on Demand
126. [Royce Warrick](#) | Sr. Vice President, General Counsel and Corp Secretary | Solenis
127. [Sabrina Rusnak-Carlson](#) | General Counsel and Chief Compliance Officer | THL Credit Advisors LLC
128. [Sarah Holdener](#) | General Counsel | Moto, Inc.
129. [Saria Tseng](#) | Vice President, Strategic Corporate Development, General Counsel and Corporate Secretary | Monolithic Power Systems
130. [Scott Edelman](#) | CFO/General Counsel/Executive VP | Aristocrat Plastic Surgery
131. [Scott Thayer](#) | Chief Legal Officer and Corporate Secretary | Dawn Foods, Inc.
132. [Seth Jaffe](#) | Executive Vice President and General Counsel | Levi Strauss & Co.
133. [Shawn Cheadle](#) | General Counsel, Operations | Lockheed Martin Space
134. [Shawn Soderberg](#) | EVP, General Counsel and Secretary | Bloom Energy Corporation
135. [Sheri Fanaroff](#) | General Counsel | Columbia Association, Inc.
136. [Stacey Hanna](#) | General Counsel Global E&C | Lonza
137. [Stephen Roth](#) | Vice-President & General Counsel | JTV
138. [Steve Burren](#) | General Counsel | Rotech Healthcare
139. [Sumeet Seam](#) | General Counsel | Save the Children USA
140. [Susie Fiore](#) | EVP and General Counsel | Relevent Sports Group
141. [Ted Moore](#) | SVP, General Counsel & Corporate Secretary | Nine Energy Service, Inc.
142. [Thomas Archer](#) | Vice President and General Counsel | Miller's Ale House
143. [Tim Phillips](#) | Chief Legal & Risk Officer | American Cancer Society
144. [Timothy W. Triplett](#) | President, Legal, Risk & Gov't Affairs, General Counsel | Black & Veatch
145. [Trey Blalock](#) | Chief Legal Officer/Chief Development Officer | Health Care Navigator
146. [Valerie Banner](#) | (1) Senior Vice President, General Counsel and Secretary; (2) Independent Director and Member of Nominating and Governance Committee | (1) Exterran Corporation; (2) Main Street Capital Corporation
147. [Veronica O'Brien](#) | Regional General Counsel -- North America | Andritz (USA) Inc.
148. [Victor Casini](#) | General Counsel | LKQ Corporation
149. [Virginia Fogg](#) | General Counsel | Norfolk Southern Corporation

150. William Grieshober Jr. | Senior Vice President / General Counsel | Rich Products Corporation

Name: Adam Kokas

Job Title: Executive Vice President, General Counsel & Secretary

Company: Atlas Air Worldwide

LinkedIn: <https://www.linkedin.com/in/adam-kokas-31a1052a/>

Professional Bio: Adam R. Kokas Executive Vice President, General Counsel and Secretary Atlas Air Worldwide Holdings, Inc. Mr. Kokas is the Executive Vice President, General Counsel and Secretary of Atlas Air Worldwide Holdings, Inc. (NASDAQ: AAWW) and its airline operating subsidiaries, Atlas Air, Southern Air, and Polar Air Cargo Worldwide. In his role, Mr. Kokas' responsibilities include leading the company's global legal, regulatory, compliance, government affairs, ethics and corporate governance strategy. Mr. Kokas joined the company as Senior Vice President, General Counsel, and Secretary in October 2006 and was named Chief Human Resources Officer in 2007, a position he held through March 2018. He was appointed to Executive Vice President in 2014. Mr. Kokas is the Chairman of the Cargo Airline Association, a non-profit trade association comprised of U.S. Cargo also airlines, and the former Chairman of the Airlines for America (A4A) Law Council, the trade association of the leading U.S. passenger and cargo carriers. Mr. Kokas was recently named to the Legal 500 GC Powerlist (2019) and was recognized as Governance Professional of the Year (Small to Mid-Cap) at the 2016 Corporate Governance Awards. He is the leader of the Atlas team recognized as Governance Team of the Year (2018), Law Department of the Year by the New York Law Journal (2018) and for "Exemplary Shareholder Engagement" at the New York Stock Exchange Governance, Risk and Compliance Leadership Awards (2016). Mr. Kokas earned his bachelor's degree from Rutgers College, and is a cum laude graduate of the Boston University School of Law, where he was an Edward M. Hennessey scholar.

Additional Submitted Information: My career path leading up to my current role: I began my career in 1996 as a business and banking lawyer at a mid-sized law firm in New Jersey. Slightly more than a year later I began practicing law in New York. I was a partner at the law firm Kelley, Drye & Warren LLP, followed by Ropes & Gray LLP, each in New York. I then joined Atlas Air in 2006. I began at Atlas (AAWW) as Senior Vice President, General Counsel & Secretary, and in 2007, I assumed the global Human Resources function as well, as Chief Human Resources Officer. I was promoted to Executive Vice President in 2014, and assumed responsibility for Government Affairs & Public Policy in 2016 (with that function reporting into me). Last year, given the ever expanding breadth and scope of my responsibilities, the Human Resources function began reporting directly into the Chief Executive Officer. - Important transactions that I have been involved in during recent years: --Convertible Note Offerings - AAWW completed a successful offering of \$224.5M of convertible notes in an underwritten public offering. The notes bear interest of 2.25% and mature in June of 2022. AAWW used the proceeds of the offering primarily to refinance higher cost aircraft debt providing significant benefits to the company. As part of the offering, AAWW also entered into a bond hedge transaction to reduce dilution to stockholders upon conversion of the notes and a related warrant transaction. -- Acquisition of Southern Air - AAWW acquired the airline Southern Air for approximately \$110M paid in cash. Southern Air is a premier operator of 737 and 777 aircraft on an crew, maintenance and insurance basis. The acquisition gave AAWW immediate entry into 777 and 737 aircraft operating platforms, which AAWW previously did not operate, enhancing our opportunities for additional growth and our position as a leading global provider of outsourced

aviation operating services. Further, AAWW did not assume any debt in this acquisition. -- Amazon Transaction - AAWW entered into agreements with Amazon related to the operation and leasing of 20 Boeing 767-300 and up to 20 Boeing 737-800 freighter aircraft. In connection with these agreements, AAWW granted a warrant providing Amazon the right to acquire AAWW shares vesting in increments with aircraft deliveries. The agreements also provide incentives for future growth of the relationship. -- More recently, AAWW completed a second successful offering of \$260M of convertible notes in an underwritten public offering. The notes bear interest of 1.875% and mature in June of 2024. AAWW used the proceed of the offering primarily to repay higher cost revolving credit facility borrowings and fund future growth, including the acquisition and conversion of aircraft to be placed in service with Amazon. As part of the offering, AAWW also entered into a bond hedge transaction to reduce dilution to stockholders upon conversion of the notes and a related warrant transaction.

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Name: Aleksanteri Lebedeff

Job Title: Senior Vice President, General Counsel, Secretary to the Board

Company: Metso Corporation

LinkedIn:

Professional Bio: https://drive.google.com/file/d/11RpRomXvdFPv9O_po-5RONXXJINBm6J/view?usp=sharing

Additional Submitted Information: Main professional focus has for the last 15 years been on the CEO or Board level legal and general advising in relation to navigating transformational corporate or business level consolidation processes for increased operational efficiency and/or shareholder value releasing corporate demergers or business spin-offs to realize untapped potential of various businesses. This work has been implemented throughout various business cycles within a framework of a globally operating publicly listed corporation active in a cyclical mining process industry space and previously in process automation and paper, papperboard and pulp process industry space. Most recently, I have been exceptionally enthusiastic about digitalization of legal profession and, in particular, development and application of artificial intelligence tools for increased efficiency, quality and impact of lawyers' contribution and will certainly be my strong focus areas the next few years to come.

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Name: Alex Labowitz

Job Title: General Counsel

Company: Cadre

LinkedIn: <https://www.linkedin.com/in/alexander-labowitz-b0513512/>

Professional Bio: ALEX LABOWITZ - BIO CURRENT ROLE Alex is the General Counsel & Chief Compliance Officer at Cadre, a fintech real estate company that is expanding access to institutional-grade investments. Cadre's goal is to launch investment products that transform it from a real estate platform to the world's first digital stock market for alternative assets. Alex

manages all legal, compliance, and regulatory matters at the rapidly-growing company. Alex also works closely with Cadre's board of directors, CEO, and other business and tech executives on all company strategic initiatives. LEGAL Alex joined Cadre at early start-up stage (as employee #9) and designed and oversaw a complete corporate restructuring from a single entity to a parent company structure with dozens of subsidiaries, including a FINRA-registered broker-dealer clearing firm, SEC-registered investment adviser, and SEC-approved alternative trading system. He hired and directly manages all of the company's legal and compliance professionals. Alex leads on all HR / employment matters, including executive-level employment negotiations, internal investigations, and separation agreements. He works closely with Cadre's CEO and media / PR strategists on all public relations matters, including press releases, company and management profiles, and media inquiries. STRATEGIC Alex advises Cadre's board of directors and company leadership on all strategic and new business initiatives. He is a trusted strategic adviser to Cadre's CEO given his unique breadth and depth of corporate institutional knowledge. Alex was a crucial member of the execution team on key fundings and partnerships, including Series C funding (led by a16z), Goldman Sachs platform partnership, and backstop funding partnership with major New York family office. Alex remains deeply involved in new product and business line launches, including Opportunity Zone program, secondary market, managed portfolio, starter pack, and asset digitization. COMPLIANCE & REGULATORY Alex built Cadre's internal broker-dealer and investment adviser compliance and corporate governance programs from the ground up, including formation of investment, valuation, and cybersecurity committees, drafting and updating of WSPs, compliance manual, and AML / KYC manual, and establishment of compliant expense sharing arrangements and corporate approval policies. Alex is an observer member of Cadre's investment committee and full member of its cybersecurity committee. PREVIOUS LEGAL EXPERIENCE Simpson, Thacher and Bartlett LLP As a member of Simpson's corporate real estate department, Alex represented major private equity sponsors (Blackstone, KKR, Carlyle, Centerbridge, and Northwood) in all aspects of real estate investments and financings totaling over \$10 billion. He negotiated and drafted primary and ancillary deal documents, coordinated complex closings, advised on tax and corporate structuring issues, and managed important client relationships. Alex managed large deal teams, including junior associates, local counsel, and service providers. Shearman & Sterling LLP Alex began his legal career on Shearman's real estate team, where he worked directly with partners and senior counsel on commercial real estate finance, development, equity, and leasing transactions on behalf of a variety of clients, including lenders, private funds, and landlords.

Additional Submitted Information: Cadre is an online real estate investment marketplace giving people direct, deal-by-deal access to institutional-grade real estate. In 2018, Cadre launched three cutting-edge new product experiences for its customers - Secondary Market (the first platform for buying and selling commercial real estate investments), Managed Portfolio (instant diversification through automatic commercial real estate investing), Opportunity Zones (dramatic reduction of taxes on capital gains through investments in a new class of real estate opportunities). As General Counsel, I was confronted by the reality that the legal documents and processes required for users to invest in alternative investment products are exponentially more complex and involved than investing in equities. Furthermore, these documents need to be in place for every individual investor in every investment. It is not an intuitively scalable system and the sheer complexity of the documents often entails hiring expensive outside counsel and burdening investors with lengthy transaction documents for each investment. What's more, deals on Cadre can be completed in weeks (sometimes shorter), rather than the standard months. Given Cadre's business model, I had to find a new approach to making sure our legal processes matched our mission. Legal business as usual was simply not an option. Under my leadership, Cadre's legal team worked closely with Cadre's tech and ops teams to transform and scale a platform to cater to all types of customers, and all types of investment products (some anticipated and some not yet in development) in a way that would be

comprehensive, but seamless. Under my direction, the legal team created a modularized legal document process, akin at its core to a children's mix and match puzzle where all the different color shirts fit within the shirt slots ("user needs") and all the different color pants within the pants slots ("investment needs"). I led the endeavor to systematically break down complex legal documents (sometimes over 100 pages long) into their parts and analyze which pieces of information could be included within each legal document module. The modularized document parts were then optimized so that a modularized part existed for each of the situations that Cadre encounters the most within each module. By creating and implementing a modular system that could be applied to any number of investor types and new investment products, all delivered on platform, we have radically transformed the way in which we close real estate deals. We have drastically cut down the amount of internal and external resources (legal, finance and operations) required on the company side and the cost of generating and integrating the documents required for new investments and new products. We have also ensured that the ease of investment that we promise our customers exists through the closing process.

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Name: Allison Brecher

Job Title: General Counsel and Chief Privacy Officer

Company: Vestwell

LinkedIn: <https://www.linkedin.com/in/allisonbrecher/>

Professional Bio: I am the General Counsel and Chief Privacy Officer of Vestwell, a digital platform that makes it easier to offer and administer retirement and other employee benefit plans. I built the entire legal and compliance operations from the ground up and oversee our fast growing, highly regulated organization. I joined Vestwell after almost fifteen years as Senior Assistant General Counsel and Director of Information Management at Marsh & McLennan Companies where I handled an extensive docket of ERISA, benefits administration, privacy, and regulatory matters. I also led ediscovery and information governance initiatives for our global operations in more than 100 countries. I developed proprietary tools for corporate legal departments to manage information-related risks. I wrote a book on the topic, which is part of the curriculum at several law schools, and served for many years on the Advisory Board of the prestigious Georgetown University Law Center EDiscovery Institute. Prior to and during law school, I worked as a field reporter for Fox news, handling local legal stories. I also host a bi-weekly radio show, The NonProfit Voice, where I profile women who have found their purpose and make a difference through community service. I graduated from Union College and received my law degree from DePaul University College of Law, where I was a member of the law journal and graduated with honors. I also earned a global security certificate from the University of California, Los Angeles.

Additional Submitted Information: I have always devoted my career to finding ways to leverage trends that are changing the legal industry. While at MMC, I noticed a developing line of cases around evidence collection and preservation for litigation, which ultimately became the new e-discovery federal rules of civil procedure. Our Fortune 200 company was in the midst of industry-wide, high-profile litigation at the time, and I initiated and took on a global role to build and implement procedures that would help restore relationships with clients and regulators. I created proprietary software to help corporate legal departments manage risks around privacy and information and I became an industry thought leader for it. This led to writing a book, lecturing at leading industry conferences, and serving on prestigious Advisory Boards to help shape the growing area of law. I also innovated MMC's legal operations by

developing self-service tools that helped my team deliver legal services more efficiently. I continue my work on innovating services at Vestwell. For those who may not be familiar, Vestwell is a cloud-based platform that is transforming the retirement industry. My role is to oversee the recordkeeping, plan administration, investment management, and trust operations to make sure our clients' plans operate smoothly and in compliance with legal and regulatory requirements. Our platform is especially geared well for small and medium-size businesses, which have been underserved and overcharged by traditional service providers. Since many of our competitors have been actively engaged in litigation, our focus on the small and medium sized business market allows me to help protect those plans particularly susceptible to missteps because they lack in-house counsel or internal compliance specialists. As a woman in the predominantly male-dominated financial technology industry, I am especially proud of my work and it is important for me to be a role model for other women and working mothers. I accomplish that through community service. When budget cuts affected the performing arts program in my school district, I helped start a nonprofit organization, Long Island Children's Choir, for which I have served as a Board member since 2014. Our organization provides education and performance opportunities to talented children from underserved areas and uses proceeds from ticket sales to benefit local children's charities. As the parent of a vision impaired child, I donated all proceeds from my book to the Helen Keller School in Brooklyn, New York, to purchase assistive devices for their students. My children and I have led a book club for elementary and middle school students at one of the largest homeless shelters in my area for several years. Since 2015, I have hosted a bi-weekly radio show, The Non-Profit Voice, to highlight inspiring women who found their purpose, as I did, by giving back through community service. Thank you so much for considering me for the Icon award.

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Name: Andrew Gifford
Job Title: Sr VP and General Counsel
Company: General Reinsurance Corporation
LinkedIn: <https://www.linkedin.com/in/andrew-gifford-77ab8811/>

Professional Bio: https://drive.google.com/file/d/1ivVwfj8xuDHN-NVRiXW33_V63D5hecK7/view?usp=sharing

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Name: Andy Smith
Job Title: General Counsel, North & South America
Company: Valeo
LinkedIn: <https://www.linkedin.com/in/andy-smith-9bb8395>

Professional Bio: VALEO 2008-present Troy, Michigan General Counsel, North America & South America The Valeo Group is a global Tier 1 automotive supplier based in Paris and focused on the design, production and sale of components and integrated systems for cars and trucks with 2018 revenues of roughly \$22 billion, 186 production sites, 59 R&D centers, and approximately 114,000 employees in 33 countries worldwide. • Oversee Legal Department operations in the Americas (primarily Argentina, Brazil, Canada, Mexico and US). • Manage US-

licensed, Mexican-licensed and Brazilian-licensed lawyers. • Administer Legal Department's budget and supervise outside counsel in a cost-conscious environment. • Responsibilities include strategic counseling, corporate governance, acquisition transactions, contract, commercial, litigation, IP, environmental, trade, real estate and compliance issues. • In addition to above Legal Department responsibilities, oversaw compliance as the North & South America Compliance Champion and managed external and governmental affairs for Valeo's North American operations as External Affairs Director. HONIGMAN MILLER SCHWARTZ AND COHN LLP 2006-2008 Detroit, Michigan Associate Honigman is Detroit's leading business law firm with more than 300 lawyers. Honigman's Corporate Department is consistently ranked by Chambers USA as Michigan's leading corporate department and represents acquirers, targets, issuers, lenders and borrowers ranging from start-up companies to large, multinational corporations. • As a member of the Corporate Department, counseled clients in public company reporting, public company mergers and acquisitions, financing and private equity transactions. Representative transactions include: - Represented owners in the recapitalization of a popular Michigan outdoor apparel and equipment company by a Dallas-based private equity fund. - Represented a Michigan concrete company in the formation of a joint venture with a Houston-based partner; represented the joint venture in the negotiation of its related credit agreement. - Represented a publicly traded Michigan-based insurance company in its acquisition of a South Carolina-based insurance company (and its related subsidiaries). DAVIS POLK & WARDWELL 2005-2006 New York, New York Associate Davis Polk is one of the world's premier law firms with nearly 1,000 lawyers in ten offices around the globe. The firm is widely recognized for its leading capital markets, credit, insolvency and restructuring, M&A, tax and litigation practices and has advised on many of the largest capital markets transactions in Latin America. • As a member of the Capital Markets, Credit and Spain and Latin America Practices, represented clients on debt and equity financings (issuers and underwriters), bank financings and project finance transactions. Representative transactions include: - Represented arranger in a project finance securitization of government payment receivables for a toll road project in the Peruvian Amazon. - Represented Brazilian mining, construction and industrial issuers in Rule 144A/Reg. S initial public offerings and the initial purchasers in Rule 144A/Reg. S debt offerings by a Spanish bank issuer, a Brazilian sugar issuer and an Argentine cement issuer. - Represented information services issuer in a New York Stock Exchange initial public offering. GENERAL MOTORS ACCEPTANCE CORPORATION 2000-2001 Detroit, Michigan Analyst – Latin American Treasury Center The Latin American Treasury Center managed the treasury function in Argentina, Chile, Colombia, Ecuador, Mexico, Puerto Rico, and Venezuela for GMAC, formerly a wholly-owned subsidiary of General Motors and currently an independent Fortune 300 financial services firm (Ally Financial). • Trained and supervised local treasury staffs in Latin American countries; oversaw day-to-day funding; developed short, medium and long term funding strategies; launched and ran capital market programs; managed bank relations; and performed market analysis. Key projects included: - Developed and administered an MXN 5B medium term note program (at the time the largest in Mexico) and an MXN 1B commercial paper program for GMAC Mexicana. - Managed GMAC Financiera de Colombia's participation in the financing of the Transmilenio rapid transit public bus system in Bogota, Colombia. - Negotiated loan agreements with banks throughout Latin America. - Oversaw the stand-by letter of credit financing program for Central American GM dealerships. CENTRO DE COMUNIDAD, AC 1997-1998 Tecolote, Mexico Staff Centro de Comunidad, AC is a small non-profit organization headquartered in a rural community in Baja California, Mexico near the San Diego border. The Center runs a variety of programs including hot lunch, after-school tutoring and sports programs for children; job skills programs for women; and English as a second language classes. • Lived and worked for one year in Mexico as a volunteer teacher and coach, and assisted with administrative tasks, fundraising, and volunteer and community relations. PROFESSIONAL RECOGNITION - Legal 500 (2018 Edition): Named to "GC Powerlist US: Latin America Specialists" - DBusiness – Detroit's Premier Business Journal (March/April 2018 edition): Named "TopCorporate Counsel 2018" - DBusiness – Detroit's Premier Business Journal (March/April 2016 edition): Named "TopCorporate Counsel 2016" -

DBusiness – Detroit’s Premier Business Journal (March/April 2014 edition): Named “TopCorporate Counsel 2014” - DBusiness – Detroit’s Premier Business Journal (March/April 2013 edition): Named “TopCorporate Counsel 2013” EDUCATION COLUMBIA UNIVERSITY SCHOOL OF LAW New York, New York Juris Doctor - 2005 • Harlan Fiske Stone Scholar • Parker School Certificate for Achievement in International & Comparative Law COLUMBIA UNIVERSITY SCHOOL OF INTERNATIONAL & PUBLIC AFFAIRS New York, New York Master of International Affairs (Economic Development and Finance Focus) - 2005 EUROPEAN UNIVERSITY Madrid, Spain Master of Business Administration – International Management - 1999 • Summa cum laude UNIVERSITY OF MICHIGAN Ann Arbor, Michigan Bachelor of Arts – History (Latin America focus) - 1997 • James B. Angell Scholar • Class Honors LANGUAGE SKILLS Fluent in Spanish Proficient in Portuguese

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Name: Angus Haig

Job Title: Senior Vice President & General Counsel

Company: Cox Automotive Inc

LinkedIn: <https://www.linkedin.com/in/angus-haig-18a538126/>

Professional Bio: <https://drive.google.com/file/d/1dcbq710mo2y2CBVHbaBt4oT4aXz1vFEW/view?usp=sharing>

Additional Submitted Information: See Links to recent article <http://www.atlantatrend.org/http://atlantatrend.org/component/content/article/40/1570> Angus Haig | Executive Profile | ATLANTA TREND International Counsel By Robert Green One year ago when Cox Automotive needed to select a new General Counsel, it chose someone with great international business experience. Working for Coca-Cola in places as varied as London, Atlanta, Sydney, Tokyo and Shanghai, Angus Haig had seen many ways of doing business and relating to people across the globe. “My international career allowed me to enjoy so many different cultures in the developed and developing world,” he says, “and experience how business practices vary from place to place.” With over \$7 billion in revenue and more than 40,000 auto dealer clients, Cox Automotive is transforming the way the world buys, sells, owns and uses cars. Their footprint extends across 5 continents through a multitude of global brands and businesses. “We offer an unparalleled set of innovative solutions in many markets around the world to Dealers, Manufacturers, Car Shoppers, Lenders and Mobility Providers that touch upon every aspect of a motor vehicle’s lifecycle,” he says. Haig was born in Adelaide, Australia and started his career there, first as an associate to a Supreme Court judge and later as a solicitor. “My work for the Supreme Court was both criminal and commercial and later as a solicitor I worked in litigation, mostly small cases, but it was great experience because I got to see the complete litigation lifecycle,” he says. “Next I did entirely corporate and commercial work involving companies in mining, gas, sale of businesses and real estate. I really got to learn the art of drafting legal documents at that time.” Haig moved to Sydney and joined Coca-Cola in 1998 as the division marketing counsel for the South Pacific. Increasingly responsible positions in the company’s legal department placed him at various times in the US, Europe and Asia. His last job with Coca-Cola was as international general counsel – Asia Pacific and general counsel of Japan. He and his family lived in Tokyo for almost three years. “The breadth and variety of my experience at Coca-Cola definitely helps me here at Cox Automotive, where we deal with a multitude of issues every day,” he says. Actually living in other countries gives one a better sense of how their organizations, businesses and governments run and operate, Haig believes. “Just visiting

another country doesn't really count," he says, "because you're still insulated. Until you live there and have to shop at the local grocery store, obtain a driver's license, open a bank account and pay taxes etc, you don't really get a good feel for what it's truly like to live in another country." Haig's role means that he is working constantly with executives at all levels and all functions across Cox Automotive to provide strategic, creative and commercial solutions and legal advice, whilst mitigating risk. "Communication and relationships are so important these days – I am trying to learn as much about the automotive business as I can as I believe the better an in-house counsel knows the business the better business adviser and legal problem solver they will be" he says. "Anyone can just say 'no, you can't do that. However, the days of in-house counsel pontificating from an ivory tower and only providing technical legal advice are over." The role and responsibilities of in-house counsel continues to expand and increase in complexity. The real value add of effective in-house counsel is when they really know the business and are able to come up with the best solutions to business and legal issues." Supporting the business through change is more fun anyway. Being able to be flexible and adaptable to change is essential these days, as it's an ongoing process in most industries and successful companies these days, according to Haig. He sees big changes in the industry ahead. "Our Mobility Solutions Group is focused on innovations and technology in the areas of vehicle subscription services, car sharing, ride sharing, electric vehicles, sensors, autonomous vehicles, as well as fleet services such as detailing, storage, cleaning and reconditioning. And it's the legal team's job to stay up to date with these advances and support the business through the continual evolution of the industry." When arriving at Cox Automotive, Haig first made sure that the right attorneys were in the right positions to be supporting the business. He moved some people around and also added new roles for mobility, technology, strategy, data, marketing, legal operations and intellectual property. Next he worked on team spirit and collaboration. "When I started morale was quite low. My approach was to bring everyone together so they felt part of a cohesive and collaborative team, with as much diversity as possible. I believe in the end you get better solutions and results the more collaborative and diverse your team is." Haig also pays attention to the development of his people. "People are a legal team's number one asset. I try to make time to get to know the team as much as possible and understand what they are interested in doing, how I can best support their development and interests and professional goals." He has also hired several younger lawyers into the legal department to be developed as a pipeline of future leaders. "The way I think about the legal team is like an inverted pyramid with me at the bottom, the team above me and the business at the very top," he says, "because I'm here to support the team and in turn we all support the business. I trust my team, support them and I want them to know that I have confidence in them and they can come to me whenever they need to. I don't ask anyone to do anything that I have not done before or would not do myself." He has a team of 28 lawyers today. Most are in Atlanta but he also has lawyers in other U.S. locations as well as the UK, Canada and Australia. A lot of Cox Automotive's legal work is done in-house to keep costs down. The Cox companies as a whole have recently been working on a project called Convergence whereby the legal departments of Cox Enterprises and its three divisions aim to streamline how they engage outside counsel, increase efficiency and effectiveness of legal spend and ultimately better support the business and its objectives. Working as an international in-house counsel has its challenges but has been extremely rewarding for Haig. "I've been very lucky with my family," he says, "and my wife has been extremely supportive of me and all the moves we've had to make." His four children were born around the world as a result; two in Sydney, one in London and one in Atlanta. They are a true international family. "We love being in Atlanta and have a lot of respect for it as an international city. The airport, accessibility, variety of businesses and the friendliness of the people are all a fantastic package for anyone who wants to live and work here," he says, "and Atlanta is a great place to raise a family. The schools are terrific. Our older kids are at The Howard School and the younger ones are at Trinity." Haig currently serves on the board of the International Dyslexia Association as well as its Ethics & Standards Committee and its Nominations & Governance Committee. He is a member of the Board of Trustees of The

Howard School, a school specializing in educating children with learning differences and disabilities in Atlanta.

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Name: Anthony Fong

Job Title: General Counsel

Company: Broadway Stages, Ltd. and Staten Island Stages, LLC

LinkedIn: <https://www.linkedin.com/in/anthony-k-c-fong-esq-2760b671/>

Professional Bio: https://drive.google.com/file/d/1s-xhJTI6_02a7ePiN3V9A9SzwCw3TpdI/view?usp=sharing

Additional Submitted Information: I believe that this section would be utilized most efficiently if I just list some recent achievements in "bullet-point" form: 1- 11/2018: negotiated for partial acquisition of brewery; 2. 12/2018: Team negotiated longer than commercially standard deal with Netflix for production in Studio and Ancillary Space; 3. 12/2018: Team closed on 315,000 Sq ft in Brooklyn for redevelopment; 4. 2019: Awarded Super Lawyers Rising Star Award (second year in a row); 5. 1/2019: Company Awarded \$1.5M grant to build 1.4 acres of green roofs on top of studio space for community use; 6. 1/31/19: Negotiated record breaking deal for a long term lease to bring a special methods school in Northern Brooklyn; 7. 2/2019: Team negotiated a 5 year deal with NBC for Studio and Ancillary Space.

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Name: Antoine Genevois

Job Title: Group General Counsel

Company: Edf Renouvelables

LinkedIn: <https://www.linkedin.com/in/antoine-genevois-6b941825/>

Professional Bio: Antoine Genevois is, since April 1st, 2019, Group General Counsel for EDF Renouvelables, entity in charge of the development of renewable energies for the EDF Group and present in more than 20 countries worldwide. He is mainly in charge of legal and insurances matters, reports to the CEO, and sits on the Executive Committee. 43 years old, and holding a PhD in business law, Antoine Genevois was from 2012 to 2018, legal and compliance General Counsel of Framatome, after having spent 6 years in the USA as General Counsel for AREVA North America. Previously, he worked as legal counsel in the Areva NC legal department, particularly for the Enrichment Business Unit, and started his career in 2000 in the Thale legal department where he particularly worked on the Value Charter drafting and deployment, and the new laws related to bribery in international transactions.

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Name: Blanka Moss

Job Title: General Counsel/Company Secretary

Company: Schindler Lifts Australia Pty Ltd

LinkedIn: <https://www.linkedin.com/in/blanka-moss-8089227/>

Professional Bio: Admitted to practice in the Supreme Court of New South Wales 1979 General Counsel in Corporate Practice since 1982 . Areas of expertise include, Corporations Law, Taxation Law, Construction/ Contracts Law. Expertise in Competition (Anti Trust) Law. Experience in dealing with Anti Trust investigations and Compliance Authorities relating to Anti Competitive behaviour in Australian Markets. Presenter/Speaker and Chair to Legal/ Commercial Industry on Construction Law, Ethics and Ethical behaviour in the Construction and Lift Industry through the Corporate Lawyers Association.

Additional Submitted Information: My career spans a number of diverse areas of Practise in the Construction Industry where I have been involved in the Professional Development of the Legal Profession in the following areas: -As a Counsellor (Director) of the Law Society of New South Wales, -long standing Speaker through Industry wide Organisations (Legal Wise Seminars) -a Mentor and current Coach to young lawyers and in particular female lawyers to address areas of diversity and inclusion in the profession.

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Name: Brian Cupka

Job Title: Executive Vice President, General Counsel & Secretary

Company: Konica Minolta Business Solutions U.S.A., Inc.

LinkedIn: <https://www.linkedin.com/in/briancupka/>

Professional Bio: https://drive.google.com/file/d/1UeHs2zZSZJFqCto7UdVeVvRyVUTO_c_4/view?usp=sharing

Additional Submitted Information: During my tenure as General Counsel for Konica Minolta Business Solutions U.S.A, Inc. the company has increased dramatically through strategic acquisitions in the U.S., Canada and Mexico, adding both employees and revenue The Legal department is seen as an important contributor to the business as we strive to always be approachable to resolve issues before they become major problems. We successfully resolved class and collective actions filed against the company and have established a robust compliance program. In addition to my role as General Counsel I was the head of Human Resources for more than five years and was instrumental in advancing Diversity and Inclusion within Konica Minolta. I am proud to say that I am part of a great team in the Konica Minolta Legal Department

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Name: Bridgett Roman

Job Title: General Counsel, Executive Vice President & Secretary

Company: Community Choice Financial

LinkedIn: <https://www.linkedin.com/in/bridgette-roman-a585735/>

Professional Bio: https://drive.google.com/file/d/1SMDP03VOdNK3xbQ9DIOoio_N5CnhI7fW/view?usp=sharing

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Name: Cameron Findlay

Job Title: Senior Vice President, General Counsel & Secretary

Company: Archer Daniels Midland Co.

LinkedIn: <https://www.linkedin.com/in/d-cameron-findlay-08b7275/>

Professional Bio: D. Cameron Findlay is Senior Vice President, General Counsel and Secretary of Archer Daniels Midland Company, the Fortune 50 global agricultural company with approximately \$70 billion in annual revenue and 35,000 employees around the world. Findlay leads ADM's global legal, compliance, regulatory, government affairs, insurance and corporate philanthropy functions. Before joining ADM, Findlay served as general counsel of Medtronic, the world's largest stand-alone medical device company, and of Aon Corporation, the global insurance and human resources firm. He has also been a partner at the global law firm now known as Sidley Austin. Findlay also has a distinguished career in government service. He was the Deputy Secretary of the U.S. Department of Labor, the number-two official and chief operating officer of the department; Deputy Assistant to the President and Counselor to the Chief of Staff at the White House during the administration of President George H.W. Bush; and a law clerk for Justice Antonin Scalia of the U.S. Supreme Court and Judge Stephen F. Williams of the U.S. Court of Appeals for the District of Columbia Circuit. Findlay has achieved recognition for his work as a leading general counsel. The National Law Journal has twice named him one of "America's 50 Outstanding General Counsel." Under his leadership, the ADM Legal Department won "Best Legal Department" from Corporate Counsel Magazine in 2018 and the 2017 Association of Corporate Counsel "Value Champion" award. While at Medtronic, the Medtronic Legal Department was also named "Best Legal Department" by Corporate Counsel magazine in 2012, and won the Association of Corporate Counsel's "Value Champion" award the same year. Findlay is actively involved in civic affairs. He serves on the Board of Trustees of Northwestern University, chairs its Audit Risk & Compliance Committee, and serves on the Executive, Nominations, and Educational Properties Committees. He also serves on the Board of the Steppenwolf Theatre, the nationally renowned theater company in Chicago. He is a life member of the Council on Foreign Relations. Findlay received his bachelor's degree summa cum laude and graduated first in his class from Northwestern University, his master's degree with First Class Honors from Oxford University, which he attended as a Marshall Scholar, and his law degree magna cum laude from Harvard Law School. He has two adult sons and lives in Chicago with his wife Amy, a law school classmate.

Additional Submitted Information: Archer Daniels Midland is a global food business consistently ranked in the Fortune 50, with \$70 billion in revenues, more than 35,000 employees, and operations in 170 countries. But ADM long operated with a legal department that would have been more at home in the 1960s than in the 2010s: more than 80% of its legal work was farmed out to outside firms, with no alternative fee arrangements or even rate discounts; the small but capable group of in-house lawyers had no organizational structure; some lawyers, and considerable amounts of legal activity, were located outside Legal; and ADM did not have up-to-date technology to manage legal costs or workload. And it's not as if ADM has been free of big-time legal problems: it continuously engages in multibillion-dollar transactions; it faces increasing government regulation of food safety; it faced an FCPA

investigation; and its 1990s antitrust issues had even been the subject of *The Informant*, a best-selling book and hit movie starring Matt Damon. Cameron Findlay arrived in 2013 as General Counsel at ADM after two previous stints as a GC. Given where the legal function was at the time, his goal of making ADM's legal department the best in the industry must have seemed like a pipe dream, but his team began taking steps – some large, some small – to achieve that goal. First, he took a “back-to-basics” approach to implement simple changes that many legal functions had adopted years ago. Findlay brought in the lawyers who previously resided Legal, and put in place rules that only Legal could hire firms. Findlay also implemented a more traditional organizational structure in which lawyers were assigned to business units or geographies, or functional areas such as litigation or M&A, rather than acting as free-floating members of an internal law firm. Second, ADM created a legal operations unit that introduced new technology, including a sophisticated new matter management system (MMS) that collects and organizes data to manage the department's work, handles loss reserves and settlements, and provides robust data to evaluate firms. Third, the team put in place a comprehensive outside counsel management program to rationalize the use of outside counsel and rein in costs. In 2014, ADM put in place the “ADM Law Firm Alliance,” or ALFA, a panel of 19 firms, to handle most of ADM's outside work. Once ADM partnered with these firms and concentrated its work on fewer firms, it could negotiate attractive rates and alternative fee arrangements. While today these changes might not seem earth-shattering, for a sleepy old legal department they quickly bore fruit. ADM has cut outside spending by at least 50% from 2013-18, both by moving work in-house and controlling outside firms through discounts, AFAs, and use of cheaper firms (the average billable hour of outside counsel for ADM dropped 21% from 2013-17). Looking back six years later, ADM has much to be proud of. It has achieved significant successes for the business, such as large transactions, significant litigation, and the absence of major motion pictures; it has done all this at lower cost to the shareholders; and most importantly, ADM Legal has gained the respect of its business partners by aligning itself with the business's strategic imperatives.

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Name: Caroline Boller

Job Title: CAO/General Counsel/Corporate Secretary

Company: Terravant Wine Company, LLC

LinkedIn: <https://www.linkedin.com/in/caroline-boller-a51a1b70/>

Professional Bio: Caroline is Chief Administrative Officer, General Counsel and Corporate Secretary of Terravant Wine Company, LLC. Caroline oversees the Legal, Compliance, and Human Resources departments at Terravant, as well as the Summerland winery tasting room. Caroline obtained her Juris Doctorate from Berkeley's Boalt Hall School of Law in 2007, has a master's degree (M. Phil) from Cambridge University, and graduated summa cum laude from the University of Illinois at Urbana-Champaign (1997, 4.0 GPA). Caroline began her working life in London, managing private equity investments for prominent investment bank, Nomura International. She later worked in the legal department for the Kendall-Jackson group of companies. Following law school, Caroline focused on wine industry business law in firms Farella Braun + Martel (San Francisco and St. Helena) and Dickenson, Peatman & Fogarty (Santa Rosa and Napa) before joining Terravant. Terravant Wine Company is a privately-owned wine company with a portfolio of brands including Summerland, Insomnia, Flavorbomb and King Chanco in nationwide distribution, Direct-To-Consumer (DTC) and tasting room channels. Terravant also offers complete grape-to-bottle winemaking services for custom

crush/alternating proprietor clients and ultra-premium private-label brands. Clients include major US chains such as Whole Foods, Albertsons, Blue Apron, Club W/Winc. Terravant's facility produces up to 750,000 cases of wine per year.

Additional Submitted Information: Recent achievements: * In 2018, successfully negotiated \$40m in capital commitments from new financial owner, Raven Capital. * In early 2019, negotiated Summerland Winery asset purchase and due diligence process.

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Name: Chaim Levin

Job Title: Chief Legal Officer

Company: Tradition America

LinkedIn: <https://www.linkedin.com/in/chaim-alexander-levin-066836b8/>

Professional Bio: https://drive.google.com/file/d/1cd2x6R_VtqTgrid5xRj-1vzRCcXNiKr_/view?usp=sharing

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Name: Christopher Ghazarian

Job Title: General Counsel

Company: DreamHost

LinkedIn: <https://www.linkedin.com/in/christopher-ghazarian-6917a528/>

Professional Bio: Chris Ghazarian is the General Counsel of DreamHost, a Los Angeles-based web hosting, domain, and cloud company with over 400,000 customers. He heads all legal, M&A, and compliance activity, and now leads the company's expansion into Europe and South America. Mr. Ghazarian's work centers on protecting customer privacy in the age of big data. For instance, DreamHost publicly opposed a search warrant from the Department of Justice that demanded, among other things, 1.3 million IP addresses of internet users who visited a website organizing protests against President Trump's inauguration. The story gained international attention, and Mr. Ghazarian and his team successfully argued in D.C. Superior Court against the overbroad nature of the demand and its effect on 1st Amendment protections. He also defends DreamHost against patent trolls and continues to refuse settlements for the typically-exorbitant amounts of money they demand for dubious patents, choosing instead to fight back against them and prevail. Mr. Ghazarian graduated from USC as an undergraduate in 2010, and then attended Southwestern Law School where he externed at DreamHost as a 2L. In less than three years since first joining the company as an extern, he was named DreamHost's General Counsel by the company's board of directors in 2016. Mr. Ghazarian has been honored as a member of the GC Powerlist by Legal 500, a Cybersecurity and Data Privacy Trailblazer by the National Law Journal, and a Digital Age Fighter by the LA Daily Journal.

Additional Submitted Information: DreamHost opposed an overbroad search warrant issued by the Department of Justice relating to Trump administration protestors. The issue turned into an international news story, with Mr. Ghazarian's public fight being covered by over 700 outlets and publications (including live news coverage on CNN, MSNBC, Fox, etc.). DreamHost's legal

team, led by Mr. Ghazarian in-house, spent months fighting this issue in D.C. Superior Court and prevailed on multiple issues related to the protestors. Of particular concern with the warrant was the content of the site and its underlying purpose – to organize peaceful political protests – which brought to the fore First and Fourth Amendment issues and concerns about whether the request was constitutional. We prevailed on all of these issues in court, and successfully protected the sensitive data while still affording law enforcement the ability to continue their investigation within the bounds of the law. The Legal 500 recently published our story as their cover feature if you're interested: <https://www.legal500.com/assets/pages/gc/winter-spring-2019/online-overreach.html> Mr. Ghazarian has also reduced in-house costs by 45% on average year over year since starting at DreamHost. Almost all of the usual outside counsel functions have been moved in-house, allowing us to save money and spend it elsewhere on products and service launches, and to give our Board of Directors the benefit of not worrying about legal issues and fees. One additional point to highlight is that Mr. Ghazarian's team is aggressive when it comes to litigation and defense. For example, the Legal team has been successful in defending the company against patent trolls, and has often hit back with USPTO actions that have affected patent rights for Plaintiffs permanently. This is one more way the Legal team brings value to the organization -- by making it known, publicly, that they will not shy away from frivolous legal battles.

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Name: Claudia Cantarella

Job Title: General Counsel

Company: Broadway Technology, LLC

LinkedIn: <https://www.linkedin.com/in/claudia-cantarella-58245b6/>

Professional Bio: <https://drive.google.com/file/d/1ZXqzKOIAYx2tZOmW-b2ApV5aiSnwh4XP/view?usp=sharing>

Additional Submitted Information: I am the General Counsel of Broadway Technology, a leading provider of high-performance fixed income and foreign exchange trading solutions for investment banks, brokers, and hedge funds. Broadway's software solutions handle over \$100 trillion of trading volumes annually within the fixed income and foreign exchange markets. Before I joined Broadway in 2013, there was no in-house legal expertise. I had been Broadway's outside counsel for six years prior to joining as General Counsel, but in order for the company to stay competitive and control the escalating cost of legal services, it made sense to build an internal legal department. I was immediately tasked with solving fundamental legal problems where I relied on my years of substantive and procedural expertise, as well as my knowledge of Broadway's unique, next-generation Fin-tech applications. Creating an in-house legal department from scratch was a transformative experience, and the role of General Counsel offered enormous opportunities for innovation, leadership and decision-making at the highest levels. As General Counsel, I play three distinct, but overlapping roles. As a "technical expert" I give my executive management team access to the complex apparatus of the law. I also act as "counselor" who helps my company understand not only what is legal, but also what is right. Finally, I am expected to be an "effective leader" who is often the final decision maker on important matters which involve considerations beyond the law. I have to be mindful of the possible implications of my decision making—institutional, economic, ethical, reputational - beyond those factors relating solely to law. With so many areas of concern in so many different legal landscapes—intellectual property, employment matters, regulatory, privacy and data protection issues—the breadth of responsibility is staggering. Additionally, with

heightened attention from shareholders and U.S. and foreign regulators, companies like Broadway face increased scrutiny, so that ensuring compliance and effective risk management is often at the forefront of daily decision making. The quotidian tasks of my role: planning; budgeting; management; personnel; organizational skills; and the ability to draft, negotiate, and advocate, are challenging but no less important because they are more ministerial in nature. During my tenure, Broadway has experienced rapid growth – we have almost tripled our numbers, went from two offices in the United States to eight offices worldwide, and have seen our revenue increase significantly. In 2017, I shepherded the company through a \$42 million minority investment round, and this year Broadway acquired Barracuda FX, a global provider of FX order management technology, which expanded our global footprint. As General Counsel, I have demonstrated the value to the business of in-house legal expertise while achieving bottom line results, without increasing legal costs or sacrificing the mandate to comply with the law, adapt to new legal challenges, and maintain an ethical foundation for decision-making.

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Name: Dan Weber

Job Title: Senior Vice President & General Counsel

Company: TTM Technologies, Inc.

LinkedIn: <https://www.linkedin.com/in/dan-weber-a6a68bb0/>

Professional Bio: https://drive.google.com/file/d/10i0r_AW1Y36y67Ym0-Ao3MZCfBM0gUym/view?usp=sharing

Additional Submitted Information: In 2015, as Viasystems' vice president and general counsel, Dan Weber steered Viasystems through the acquisition by TTM. He was then asked to bring his talents and experience to the TTM family. Since then, he has helped the company continue its acquisition-oriented approach. In 2018, TTM purchased Anaren, which designs and manufactures high-frequency radio and microwave microelectronics for the space, defense, and telecommunications industries. "One of the great things about Anaren is that it allows us to move up the food chain, from a build-to-print model (using customers' designs) to build-to-spec (creating the design)," Weber explains. Weber credits TTM's CEO Tom Edman with ensuring smooth integrations following acquisitions. "This is one of the most disciplined organizations I've ever seen, and it starts with Tom. There is constant and continuous communication about what we're doing, and how and why we're doing it," Weber says. "At some companies, management will get enthusiastic about a deal and lose sight of the lives that are being affected. At TTM, Tom makes it a priority for management to focus on the human as well as the capital assets." Weber, who earned his law degree from St. Louis University, says part of his job is to stay up-to-date with the highly complex world of manufacturing electronics. "It's extremely important for in-house counsel to not only understand the products but to effectively help manage the business," he notes. "If you know the products you are selling and the business and financial goals, it makes providing counsel and serving the company's interests much easier because you know the sticking points or 'must haves' in each situation." Weber had to be a quick learner early on in his career. "For me, it was trial by fire," he admits. In the early 2000s, he was part of a team that managed companies acquired by Hicks, Muse, Tate and Furst, a private equity firm. At one point, Weber was in-house counsel for three companies—Viasystems, International Wire Group (IWG), and Courtesy Corporation. After the economic downturn of 2001, all three companies ended up going through bankruptcy. "If you want to earn your stripes as a corporate attorney, handle a bankruptcy," he says. "It helps you understand the core competencies of the business, the critical assets and liabilities, and the interplay between the legal and business variables. There really is no better way to get up to

speed on all of that than to go through a financial dissolution.” While managing IWG’s bankruptcy, Weber also handled a mass tort litigation with potential liability of more than \$250 million. “I was in my early thirties, so this gave me a chance to cut my teeth on lots of pieces of the legal puzzle. We managed to protect IWG’s equity holders’ interest and recoup some value for the shareholders, while expunging the majority of the liability for IWG,” he says. “I didn’t sleep much in those years, but I sure learned a lot.” Today, Weber brings his well-rounded experience to just one financially sound company—TTM. To prioritize projects, he and his team regularly engage with colleagues throughout the company to assess needs and define expectations. “You have to identify and maintain regular touch points with critical internal stakeholders to be effective in this job,” Weber says. For instance, after identifying inefficiencies in Viasystems’ and TTM’s process for protecting important confidential data for their military customers, Weber’s legal group partnered with the IT department to develop a better system. “We saw a need, and we dove in to help solve the problem. Our old system was effective, but inefficient. The new tool allows our customers to properly classify specific data as military and confidentially input that data onto our secure network at the very beginning of the engagement,” Weber says. “This allows us to isolate and protect that data more efficiently. The resulting improvement demonstrates the importance of the legal department staying close to the needs of the business.” Though Weber is in general a pretty easygoing guy, he admits he is a stickler when it comes to deadlines. “I want to always beat them,” he laughs. “This is a \$3 billion, publicly traded multinational with 30,000 employees. We aren’t advancing the business if we are a bottleneck. I will not allow the legal team to be an administrative black hole or the department of ‘no.’” Weber’s goal is to provide business-oriented solutions to the company. “We want to make everyone’s job easier and customer interactions more productive, to be a help rather than a hindrance, and to encourage our colleagues to involve us early and often,” he says. “That’s why we made the legal department’s motto: ‘To serve, support, advise, prevent, prepare, and protect.’ They’re not just words to us; they’re our whole reason for being.”

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Name: David Shapiro

Job Title: General Counsel, Executive Vice President and Corporate Secretary

Company: Vail Resorts, Inc.

LinkedIn: <https://www.linkedin.com/in/david-shapiro-85622a12/>

Professional Bio: David is the Executive Vice President and General Counsel of Vail Resorts in Broomfield, Colorado, where he oversees a legal team of approximately 40 legal professionals. David also has executive responsibility for Government and Community relations and philanthropy, Internal Audit, Compliance and Vail Resorts’ widely recognized sustainability program.

David previously held the position of Senior Vice President and General Counsel for DaVita Kidney Care, and had several other roles during his 8 years at DaVita, including Chief Compliance Officer and Chief Special Counsel. Before joining DaVita, David practiced law with firms in Philadelphia, Washington, D.C., and Connecticut. David also proudly served for four years as a trial attorney with the Civil Frauds Section of the United States Department of Justice in Washington D.C. David holds a law degree from the University of Connecticut and a bachelor’s degree in economics from Trinity College (CT).

David served on the Board of Directors and chaired the Audit Committee for Rural-Metro Corporation, which was a national ambulance and fire services provider before it was

acquired. David has served on several community and civic Boards, including Children's Hospital Colorado and the Denver Public Schools Foundation. Currently, he is a board member for the Denver Metro Chamber of Commerce. David and his wife live in Denver with their two children.

Additional Submitted Information: During David's 4 years at Vail Resorts, the Company has experienced tremendous growth – almost doubling in market cap, adding many new domestic and international resorts and 10s of thousands of employees. The legal team has supported many transactions, including the acquisition of Whistle Blackcomb in Canada for more than \$1Billion, two resorts in Australia and several notable domestic locations. On the litigation front, the legal team has had great success prevailing in precedent setting cases through motion practice and litigating cases to jury verdict with internal attorneys. David also has executive responsibility for Vail Resort's sustainability program. Our Commitment to Zero sets bold goals to reduce next emissions to zero by 2030, to send zero waste to landfills by 2030, and to have a zero net operating impact on our forests and habitats. We are well on our way! Several months ago, the legal team negotiated and signed a 12 year, multi-million dollar commitment to purchase 310,000 megawatt-hours of wind energy annually to bring on line a wind power project in Nebraska. Thereby, Vail Resorts is reaching its 2030 emissions commitment today.

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Name: Deborah Schwarzer

Job Title: General Counsel

Company: Aeris Communications, Inc.

LinkedIn: <https://www.linkedin.com/in/deborah-robbins-schwarzer-46bb17b/>

Professional Bio: <https://drive.google.com/file/d/1706UIDAaqXf7DgbXHeLaU-hUMdDKOK7d/view?usp=sharing>

Additional Submitted Information: It's an old saw to say that in house counsel lead cross-functional teams in the organization to deliver responsive and timely advice and achieve critical business objectives. But it really is what I do every day, and I think I do that well, whether it's defining a product, establishing business processes to minimize the impact of technological changes on customers, protecting IP or designing compliance programs that go far enough without impeding progress. It takes every bit of my experience, training and skill to do this, but it's also very satisfying.

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Name: Eric Cohen

Job Title: Senior Vice President, Secretary & General Counsel

Company: Terex Corporation

LinkedIn: <https://www.linkedin.com/in/eric-cohen-b585479b/>

Professional Bio: <https://drive.google.com/file/d/1ybfYr8L2ssyhoyq2LUGFuexpG3CXHDy/view?usp=sharing>

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Name: Gordon Kessler

Job Title: General Counsel, Chief Administrative Officer

Company: AiCure, LLC

LinkedIn: <https://www.linkedin.com/in/gordon-kessler-8298162/>

Professional Bio: https://drive.google.com/file/d/1EmQhcJ2y8mWc-CuLBqVtB_UqVmatgQb9/view?usp=sharing

Additional Submitted Information: Gordon Kessler is a founder of AiCure, LLC. AiCure is an AI and advanced data analytics company targeting the healthcare industry. The company's proprietary intelligent software captures and understands video, audio, and behavioral data to establish the link between patients, disease and treatment. The company's initial offerings employ computer vision and artificial intelligence to watch individuals take their medications to assist and confirm they are doing it properly. The solution is currently in use by pharmaceutical companies running clinical trials, government entities monitoring individuals taking tuberculosis hand HIV PreP medications, and by payers and insurance companies supporting their members to take their medications and get healthy. Gordon's unique background of intellectual property law and business has allowed him to drive the company from a startup of three people to its current state with over 65 employees. Gordon is responsible for all legal aspects at AiCure. Under his direction, AiCure has received over 53 issued patents in the United States and around the world, and has many more pending applications. Gordon is also an inventor on many of the patents. This strong patent position has allowed AiCure to enjoy exclusivity in its market and industry. Gordon has also guided the company through two fundraising events, and financing from National Institutes of Health. He has also directed the company through adaption of policies and procedures supporting the implementation of the GDPR in Europe and the launching of an AiCure office in the United Kingdom. The use of personally identifiable health information of patients is essential to the operation of AiCure's business. Gordon is also responsible for negotiation of all contracts with customers and vendors, insuring that data privacy, intellectual property, payment and other issues are addressed in a time-sensitive environment. Gordon additionally manages the quality department at AiCure, a group essential to the Company's approval by pharmaceutical companies to provide services. Maintaining a quality management system aligned with FDA requirements is essential for operation in such a regulated industry. The purpose of a lawyer in a business is to manage risk, and address all legal issues for the company, providing business input as related to the law, and to allow the executive team to focus on the business. Through his interaction with the board and other executives at the company, Gordon's support continues to allow AiCure to successfully grow, and to improve the lives of thousands of patients.

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Name: Gordon Kushner

Job Title: SVP, General Counsel & Secretary

Company: ECI Software Solutions, Inc.

LinkedIn: <https://www.linkedin.com/in/gordon-kushner-00063a121/>

Professional Bio: Gordon Kushner has been responsible for worldwide legal affairs for the Company since 2004. In his role, he oversees all legal matters for the Company, with particular focus on compliance, technology licensing, acquisitions and strategic relationships. During Gordon's tenure, he has led the Company's growth through acquisition by overseeing 30+ acquisitions. In addition, Gordon has been instrumental in the Company's private equity growth cycle, having directed the legal aspects of investments in ECI from Insight Venture Partners, Goldman Sachs, The Carlyle Group and most recently Apax Partners. Prior to joining ECI, Gordon was Vice President and General Counsel of the Platform for Media, Inc., a venture-backed, digital-media-technology company that was acquired by Comcast Interactive Media, and Chief Intellectual Property Counsel for Baan N.V., based in the Netherlands. Gordon has also served as Senior Corporate Counsel for Structural Dynamics Research Corporation and began his legal career in the corporate department of Dinsmore & Shohl, based in Cincinnati. Gordon has a Bachelor's degree in Communications from the University of North Dakota and a Juris Doctorate degree from the University of Dayton.

Additional Submitted Information: Since joining ECI in 2004 on what was originally supposed to be a part-time gig while the Company was in transition, I've been fortunate to have been involved in an incredible journey: 30+ acquisitions around the world, the sale of the company to some of the largest private equity firms in the world (Insight, Goldman, Carlyle and now Apax) and numerous strategic partnerships. ECI has afforded me the opportunity to be at the legal forefront of the software world. We are now the largest privately held SAAS provider in the world, and through all that I've had the opportunity to advise on a myriad of complex legal and commercial matters. We've managed through some potentially company-changing litigation, we've faced some complex negotiations and we've had fun during all of the ups and downs. As legal counsel for a complex, multi-national business, I couldn't ask for a more challenging or rewarding career with ECI. Thank you for your consideration.

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Name: Holly Kennedy Romano

Job Title: General Counsel

Company: Roland Foods, LLC

LinkedIn:

Professional Bio: Holly S. Kennedy Romano serves as General Counsel of Roland Foods, LLC, a specialty food importer and distributor of more than 1500 products from 50 countries based in New York City. Appointed General Counsel of Roland Foods in August, 2007, Holly has seen the Company through its transition from family-owned and run to private equity-owned and managed. In her role, Holly manages risk and provides guidance on the complex and increasingly-regulatory framework for food, food importation, and compliance for Roland Foods and its four affiliated companies, including Albert Uster Imports, Inc., a leading importer of specialty pastry, bakery, and confectionary products based in Gaithersburg, Maryland. Holly also serves as Corporate Secretary of Roland Foods' parent company, Recipe Acquisition Corp., also based in New York City. She graduated cum laude from Harvard College and received her juris doctorate from Benjamin Cardozo School of Law. Holly serves as a Director of The Arcana Foundation, a foundation based in Washington, D.C. focused on social services for residents of the District of Columbia.

Additional Submitted Information: I would encourage any lawyer to consider a career in food. The things I have loved most about working at Roland Foods are the variety and complexity of problems food brings with it, from import issues, to quality and safety issues, to transactional issues. And behind it all, at least at Roland Foods, is an amazing array of delicious products. If you are eating a slice of roasted red pepper or an artichoke in a restaurant, hotel, or cafeteria, chances are Roland Foods imported it and got it to the chef who prepared it for you. The experience is very real, very tangible and very satisfying.

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Name: Howard Hirsch

Job Title: Chief Legal Officer

Company: Griffin Capital Essential Asset REIT

LinkedIn: <https://www.linkedin.com/in/howard-s-hirsch-7121077/>

Professional Bio: Howard S. Hirsch is our Chief Legal Officer and Secretary, positions he has held since December 2018. Prior to that, he served as our Vice President and Assistant Secretary from January 2015 until December 2018. Mr. Hirsch is also currently Vice President and Assistant Secretary of GIA Real Estate Fund, positions he has held since January 2015; and Vice President and Assistant Secretary of GIA Credit Fund, as well as a member of the investment committee of the advisor of GIA Credit Fund, positions he has held since January 2017. Mr. Hirsch served as Vice President and General Counsel - Securities of GCC from June 2014 until December 2018. Mr. Hirsch is an ex-officio member of Griffin Capital's executive management team. Prior to joining Griffin in June 2014, Mr. Hirsch was a shareholder at the law firm of Baker, Donelson, Bearman, Caldwell & Berkowitz, PC in Atlanta, Georgia, where he worked from 2009-2014. From 2007 -2009, Mr. Hirsch was counsel at the law firm of Bryan Cave LLP. Prior to joining Bryan Cave LLP, from 1999 through 2007, Mr. Hirsch worked at the law firm of Holland and Knight LLP, where he was an associate and then a partner. Mr. Hirsch has over 20 years of experience in public securities offerings, SEC reporting, corporate and securities compliance matters, and other corporate governance matters. He previously handled securities, transactional and general corporate matters for various other public REITs. Mr. Hirsch's experience also includes registrations under the 1933 Act and 1940 Act, reporting under the Exchange Act, advising boards of directors and the various committees of public companies. He has counseled public companies on corporate governance best practices and compliance matters, and has represented issuers on SEC, FINRA, and "Blue Sky" regulatory matters. He also has experience representing broker dealers on various FINRA compliance matters. Mr. Hirsch has extensive experience working with companies raising funds in the retail and wirehouse distribution channel. Mr. Hirsch earned his B.S. degree from Indiana University and his J.D. degree from The John Marshall Law School in Chicago, Illinois.

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Name: Jane Sinclair

Job Title: General Counsel, Secretary to the Board and Head of Legal and Compliance

Company: Firmenich

LinkedIn: <https://www.linkedin.com/in/jane-sinclair-79062869/>

Professional Bio: <https://drive.google.com/file/d/1HjZ6G49gfmLHLplx8OQfRboJn6tpd-7A/view?usp=sharing>

Additional Submitted Information: I lead a team of approximately 500 specialists located in more than 40 countries around the world. The team includes not only a team of strong commercial legal and intellectual property professionals, but also experts in the areas of quality, food safety, health and physical safety, environment, regulatory affairs, business ethics and trade compliance. The team has been together for approximately three years and in that time, under my leadership, we have transformed the team and had a significant impact on the culture and governance of our company. To illustrate one area of transformation, our regulatory affairs team ensures that our products comply with the flavors, food, chemical and other regulations globally. This team has not grown in numbers significantly in the last ten years, and had been reliant on old, manual processes and systems which had not been able to keep up with business growth, and the increasing complexity of regulations globally. As a result, they had become a bottleneck for product launches and client support. Over the last 2 years, processes in the area were mapped, manual processes were automated, and the team was restructured establishing a center of excellence for customer document needs and increasing the number of experts providing direct to customer advisory support. The results have been an outstanding 30% increase in efficiency, plus a significant improvement in client, business and employee satisfaction. In the Legal area, we have established a new Intellectual Property strategy focusing on the three pillars of protection, collaborations and Freedom to Operate. Implementing this strategy has allowed an improved focus on R&D and business priorities, and a much more assertive position in the market for our Intellectual assets with a 20% increase in the number of patent filings and an improved quality and management of the collaboration and other agreements. The commercial legal team has been restructured under a new Head of Legal. To better manage risk and improve relationship outcomes, the priority for the team is to launch and embed a global contract lifecycle management process, a new contract approval process and tools to support these. Our environmental team have had huge success, culminating this year in the company receiving triple AAA ranking from the CDP, formerly the Carbon Disclosure Project, which runs the global disclosure to measure and manage environmental impact. This Award was because of the measures that have been taken to reduce our company's carbon footprint, to support forests and to reduce use of water in distressed areas. Culturally, when we consolidated the group as a single governance team, there was much cynicism as to why we were together. The individual groups had historically worked in silos and had seen little value or connection with the other governance functions. Under the purpose statement "Building Trust to Last", we launched a culture initiative to bond the team and to establish ourselves as a reference for integrity, safety and sustainability for the rest of the organization. The principles by which we agreed to operate were simple and represented our joint values: ethics, independence, solution providers, clear communicators, nurturers (of ourselves and others) and passion. This culture initiative has rippled through the organization and I am proud to say that after three years, with a huge amount of energy and effort from the leadership team and from each of the individuals across the team, Legal and Compliance has become "The Place To Be".

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Name: Jonathan Kuai

Job Title: General Counsel, Corporate Secretary & Managing Director- Business Affairs

Company: Korn Ferry

LinkedIn: <https://www.linkedin.com/in/jonathan-kuai-4392045/>

Professional Bio: In his role as General Counsel and Corporate Secretary, Mr. Kuai is a trusted business advisor providing counsel on a wide range of topics from corporate governance, employment matters, public securities compliance, dispute resolution, data privacy, mergers and acquisitions, commercial transactions and other strategic initiatives. His leadership and innovation has been recognized by Corporate Secretary Magazine, the National Association of Corporate Directors, the Daily Journal, Corporate Governance Annual Awards, the Los Angeles Business Journal, M&A Advisor and other groups. In his business affairs role, Mr. Kuai provides commercial transaction and business operations support. He also provides executive sponsorship of company programs such as corporate social responsibility, diversity and inclusion and on-campus recruitment programs. Previously, Mr. Kuai served as the Company's Deputy General Counsel. Prior to joining Korn Ferry, Mr. Kuai was an attorney with Morrison and Foerster LLP, a global law firm. Mr. Kuai received his juris doctorate from the University of California, Los Angeles and his B.S. in Industrial Labor Relations from Cornell University. He also is a member of the board of trustees of Camp Ronald MacDonald for Good Times®, a program of Ronald McDonald House Charities of Southern California.

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Name: Jordan Zamir

Job Title: Vice President and General Counsel

Company: Minted

LinkedIn: <https://www.linkedin.com/in/jzamir/>

Professional Bio: Jordan oversees and manages all of Minted's legal affairs, and is focused on building a strong legal function that enables Minted's continued growth through partnerships, licensing, intellectual property protection, acquisitions, the launch of new businesses, as well as risk management. Before joining Minted, Jordan was a Partner at Jones Day, where he represented Minted for several years and supported the company in a variety of strategic matters. Jordan studied electrical engineering and computer science at the University of California, Berkeley. He was named a Top General Counsel in the 2017 First Chair Awards and was recently named to the 2019 Legal 500 GC Powerlist. Jordan graduated from UCLA Law School and began his career as a corporate transactional lawyer in Silicon Valley with a focus on technology companies and private equity funds.

Additional Submitted Information: I recently managed Minted's \$208 million financing which was lead by Permira and T Rowe Price. This was a significant milestone in Minted's history and I spent much of the year working on the transaction. The transaction featured a number of interesting components which made it challenging and ultimately satisfying to work on. In addition, I recently received a 101 patent invalidation against Electronic Communication Technologies (fka Eclipse IP), which is one of the most prolific patent trolls in history. They've successfully sued over a thousand companies and our resistance will help prevent them from extorting other companies. In addition, we are confident that we will achieve a fee award against them to further discourage patent trolls from taking advantage of the inequities of our patent system. Thank you for your consideration.

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Name: Kristin Gizzi

Job Title: General Counsel

Company: ECCO III Enterprises, Inc.

LinkedIn: <https://www.linkedin.com/in/kristen-gizzi/>

Professional Bio: <https://drive.google.com/file/d/1OCztkg2RuX0YcrZeXTvLpZFzS0NoAngZ/view?usp=sharing>

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Name: Larry Samuelson

Job Title: Senior Vice President, General Counsel and Corporate Secretary

Company: Cvent

LinkedIn: <https://www.linkedin.com/in/lawrence-samuelson-0b73342/>

Professional Bio: As Cvent's Senior Vice President, General Counsel and Corporate Secretary, Larry is responsible for all legal and compliance affairs at the company. Larry has more than 20 years' experience assisting public and private companies manage and execute strategic and transformational changes. Larry Samuelson specializes in providing business advice to senior executives, including assisting them assess the legal risks and opportunities facing a company. As a key member of Cvent's senior management team and the company's chief legal officer, Larry manages and oversees all aspects of the legal function, including Board governance, equity and debt offerings, M&A, commercial contracting, IP management, litigation management, employment matters and ethics. Before joining Cvent, Larry was the Vice President, Deputy General Counsel and Corporate Secretary at NeuStar, Inc., a leading provider of real-time information and analysis to the Internet, telecommunications, information services, financial services, retail, media and advertising sectors. In this position, he oversaw all legal aspects of the company's transactional, corporate, governance, finance, executive compensation and securities issues. In addition, he was responsible for advising the human resource department on employment matters. Previously, Larry was Assistant General Counsel and Assistant Secretary at DynCorp International, Inc., a leading global government services provider in support of U.S. national security and foreign policy objectives. In this position, he provided strategic legal and business counsel to the Board of Directors, senior executives and general staff. Larry was responsible for securities, mergers and acquisitions, finance and international matters for the company. Larry earned a Bachelor of Arts degree in political science from Tufts University. He then received his Master's degree in International Affairs from Columbia University and his Juris Doctor degree from Boston University's School of Law.

Additional Submitted Information: Since joining Cvent, Larry has grown the legal team by nearly 4X and has implemented a business ethics course for Cvent employees that goes well beyond "doing the right thing" – and asks employees why aren't people doing what they know to be the right thing? He has been successful in getting all employees to think about their actions and their decisions and their decision-making process, but more importantly, in getting them to talk to their teams and bringing ethics back to the company as a whole and creating a culture of ethical ambassadors. Additionally, Larry's internal business law and ethics course is the highest rated session of Cvent's MBA program. With the overarching goal to communicate the important legal concepts that are most important for employees in their daily jobs, Larry has drastically increased understanding and clarity of these topics by demystifying legal jargon and formulating it into a more digestible format. In doing this, Larry has armed the non-legal teams to be more involved in each step of the sales process, including giving them the confidence they need to handle basic contractual questions. Larry was an integral part of Cvent's preparation (both internally and externally) for the General Data Protection Regulations

(GDPR). Larry went above and beyond his role as he touched every division and department to support the employee education and product enhancements that had to take place to ensure seamless execution. Under his leadership and with his expertise, Cvent was able to take a thought leadership approach within the marketplace regarding GDPR and has been recognized for its deep dedication to data security - both from a customer and employee perspective. Yet another program Larry implemented was a Legal "ticketing system" - allowing anyone within Cvent to send in legal questions to his team. Essentially, he created a fully functioning legal advice firm accessible by the entire company and every single division has utilized the new service. Year to date, the legal team has handled nearly 1,000 inquiries. Finally, using the "Client Service" acronym, Larry established the legal team's first ever mission statement - driven by customer and client service themes - themes that are not commonly associated with legal advice. The mission statement reflects how Cvent employees can be responsible in growing the business for years to come. The mission statement is as follows: Counsel proactively, Leverage business acumen, Interact collaboratively, Exceptional quality, No legalese, Tolerate risk, Solution oriented, Efficient, Responsible yes, Value proposition, Integrate strategic knowledge, Contract management, Execute with urgency. Larry's hunger and passion to deliver competent, respectful, service is what has ultimately allowed him to become much more than a General Counsel to the Cvent team worldwide. He is a trusted friend, partner, and confidant and employees know he will take the time to listen and provide insightful feedback - no matter what the situation.

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Name: Laurie Nelson

Job Title: General Counsel

Company: Autoscribe Corporation

LinkedIn: <https://www.linkedin.com/in/laurie-nelson-76a4456/>

Professional Bio: <https://drive.google.com/file/d/1zw8IXdenk3Dr61PPt-80o9-JaL6evmxU/view?usp=sharing>

Additional Submitted Information: As general counsel for Autoscribe Corporation for almost five years, the amount of growth and continued success of the company is extraordinary. When I arrived at Autoscribe, the company was a gateway only technology company. I was able to connect with multiple banks and develop the relationships needed to add third-party ACH processing and MSP processing as a direct offering. I was able to procure and develop these relationships with the knowledge and insight gained from working as in-house counsel for banks prior to joining Autoscribe. Revenue has doubled since these offerings were added from \$8 to \$16 million. As part of this process required, I developed and created the company's underwriting program. I developed a convenience fee process that could be offered to clients that provided clients the relief they sought in processing costs in a way that was compliant under card rules and applicable laws. This is still something that many other companies fail to do as fees are charged by many competitors in a method that is prohibited by card rules. I have also introduced the company to the programs that provided merchants with reduced interchange and trained the team to understand how the different programs applied to our customer base. Today, as I continue to oversee the underwriting and compliance departments, I focus to maintain compliance with all the applicable rules and regulations that can change daily. In addition to this, I have always oversaw all legal matters including any litigation issues, agreements, employment law issues, etc. I was able to successfully settle multiple matters,

successfully collecting indemnification when needed under agreements. One issue led the team to realize that over \$20,000 a month could be saved by simply moving a process from a vendor in house. Something that was overlooked for many years because of discovering an issue with their service and making the argument that there was no value in continuing with the relationship. My job is nothing but boring, but I do love it. Anytime I am requested to speak at a conference, participate in a webinar or write an article; I am always happy to do so. I am privileged to be able to have a position that allows me the opportunity to learn a part of the industry that still leaves many confused. I am always honored to be asked for guidance not only by my company but by clients and even attorney's with issues in the financial industry. I believe I am a great candidate for this award as many that work in the role of general counsel cannot claim the same add to a company's bottom line while overseeing the day to day risk role that is required. I believe I add value not only protecting my company from the day to day legal risks but also in the day to day advisement I am able to provide.

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Name: Marc Alpert

Job Title: Senior Vice President, General Counsel & Corporate Secretary

Company: Loews Corporation

LinkedIn: <https://www.linkedin.com/in/marc-alpert-8437735/>

Professional Bio: Marc Alpert serves as Senior Vice President, General Counsel and Secretary of Loews Corporation, a Fortune 250 New York Stock Exchange listed diversified holding company with subsidiaries in the insurance, energy, hospitality and packaging industries. Marc joined Loews in 2016 after 30 years at the international law firm Chadbourne & Parke where he served as Head of the Public Companies Practice Group and a member of the firm's Management Committee. He has significant experience advising on strategic decisions, corporate transactions and securities, corporate governance and dispute resolution matters. Marc is responsible for managing Loews' legal affairs, including with respect to mergers, acquisitions and other corporate development transactions, securities offerings, financings, litigation and other dispute resolution proceedings, SEC reporting, corporate governance, board matters, risk management, employee issues, commercial agreements, and strategic and operational initiatives. Marc was recently named to the Legal 500's 2019 United States GC Powerlist.

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Name: Mark Fischer

Job Title: Executive Vice President, General Counsel and Secretary

Company: PVH Corp.

LinkedIn: <https://www.linkedin.com/in/mark-fischer-568804/>

Professional Bio: Mark Fischer is the Executive Vice President, General Counsel and Secretary at PVH. Based at the company's New York City headquarters, Mark oversees all legal matters for PVH. Mark joined PVH as Vice President, General Counsel and Secretary in 1999, was promoted to Senior Vice President in 2007 and assumed his current position in 2013.

Mark oversaw the corporate and legal work, or was principal legal counsel, on all of PVH's major acquisitions, including of IZOD (1995), Calvin Klein (2003), ARROW (2004), Superba Neckwear (2007), the Van Heusen trademark in Europe and Asia (2008), thereby uniting the brand worldwide for the first time in its history, Tommy Hilfiger (2010), The Warnaco Group, Inc. (2013), True&Co. (2017) and Geoffrey Beene (2018). The Tommy Hilfiger acquisition was named M&A Global Network's Consumer Products and Services Deal of the Year.

Prior to joining PVH, Mark was a Partner in the corporate department of Rosenman & Colin LLP, which is now known as Katten Muchin Rosenman LLP. His practice included securities, mergers and acquisitions, and financing, as well as general corporate counseling, with PVH as one of his corporate clients. He joined Rosenman as an Associate in 1989 and became a partner in 1996.

Mark graduated from Brandeis University with a Bachelor of Arts in Politics and earned his J.D. from Boston University School of Law. He is admitted to practice as an attorney in the State of New York and the Commonwealth of Massachusetts, as well as in the United States District Courts for the Southern and Eastern Districts of New York, and the United States Supreme Court. Mark was named to the GC Powerlist – United States, 2019 published by The Legal 500.

Additional Submitted Information: Mark Fischer was recently recognized by The Legal 500, which placed him on its GC Powerlist: United States 2019, which recognizes those corporate counsels who are driving the legal business forward. This second edition of the GC Powerlist identifies the most influential and innovative in-house counsel in the country. The Legal 500 canvassed opinions from law firm partners and in-house counsel across the US, to identify corporate counsel that have been instrumental in changing or forming opinions within their company or industry; developing brilliant technical solutions to complex issues; creating innovative structures to ensure that the in-house function is driving the business forward; or providing a business working model that other corporate counsel should follow to compile a list of nominees. Its team of experienced researchers then assessed the nominations, speaking both to general counsel and nominating lawyers to finalize the list. Mark has been working with and for PVH for over 27 years, the first seven plus as outside counsel and then moving in-house 20 years ago as PVH's first General Counsel in over a decade. Mark led the legal teams, or oversaw outside counsel, on all of PVH's major acquisitions starting with the acquisition of IZOD in 1995 and continuing through the transformative acquisitions of Calvin Klein (2003), Tommy Hilfiger (2010) and Warnaco (2013). Mark has also played a major role in the implementation at PVH of the provisions of Sarbanes-Oxley and Dodd-Frank legislation. PVH is committed to driving fashion forward – for good. It was one of the first apparel companies to adopt a code of conduct for its suppliers and business partners: it introduced A Shared Commitment in 1992. PVH seeks to improve the lives of the people and communities where it operates and its associates live. Volunteerism is encouraged and the Legal team members, with Mark's support and encouragement, are and have been active participants in numerous company initiatives. Almost two years ago, Mark initiated a pro bono opportunity for his team in the U.S. He, along with four other members of his team partnered with the international law firm Jones Day and the nonprofit Kids in Need of Defense (KIND) to represent three children who had entered the country alone and were facing deportation. The teams assigned to each child worked tirelessly to provide crucial legal services and had the opportunity to be involved directly in courtroom proceedings, which is rare for the team. As part of Mark's commitment to investing in the development and education of PVH associates, he established the PVH Law Review, a legal series that explains how recent cases, new regulations or other legal developments are applicable to PVH associates and the Company. He and two members of his team will be presenting PVH Legal 101 as part of PVH University's (PVH's award-winning associate training and development program) Leaders as Teachers series. For two consecutive

years, Mark has co-taught a class on Compliance as part of the Fashion Law & Finance course at Fordham Law.

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Name: Mark Norych

Job Title: President/General Counsel

Company: Arbitration Resolution Services Inc

LinkedIn: <https://www.linkedin.com/in/marknorych/>

Professional Bio: I am President and General Counsel at Arbitration Resolution Services, Inc. (ARS). ARS is a nationwide company that provides a complete on-line based forum for resolving multiple types of disputes through arbitration and mediation as an alternative to litigation. ARS arbitrators are all experienced litigation attorneys, retired Judges, Magistrates or law school professors. Mediators are selected for their experience and expertise in specific areas of the law, commerce or areas of disputes. No physical appearances are ever required. All arbitration hearings and mediations are conducted via teleconference or video conference. Simply stated, the company's goal is to make arbitration and mediation mainstream by being cost effective and easy to use throughout the country and then globally.

Additional Submitted Information: I helped create a company that will allow the resolution of legal disputes in a way far less disruptive and far less costly than litigation or any other alternative dispute resolution company in existence today. Utilizing state of the art technology we have removed all obstacles for parties needed to attend meditations or hearings. Allowing participation from anywhere there is a cell or Wi-Fi signal makes resolving problems much quicker and easier. We are now working on utilizing smart contracts to resolve disputes seamlessly.

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Name: Matt Fawcett

Job Title: General Counsel

Company: NetApp

LinkedIn: <https://www.linkedin.com/in/mattfawcett/>

Professional Bio: Matthew Fawcett is NetApp's senior vice president, general counsel, and chief compliance officer. Matt leads the worldwide legal team and serves as corporate secretary. Under his leadership, NetApp's legal team has been recognized for many achievements, including the Best Legal Department of 2014 ("Best Legal Departments 2014," Corporate Counsel, June 1, 2014), one of the most innovative departments ("The 2013 IC-10: Inside the Legal Profession's Top Innovators," Inside Counsel Magazine, August 30, 2013), and as a top GC ("America's 50 Outstanding General Counsel," The National Law Journal, March 31, 2014). Throughout his career, Matthew has advised leading technology companies on a variety of issues, including mergers and acquisitions, intellectual property, and litigation. Before joining NetApp, he was the senior vice president and general counsel of JDS Uniphase Corporation, where he built a worldwide legal organization, managed dozens of acquisitions and strategic transactions, and oversaw a patent program with thousands of issued and

pending patents. Matthew holds a bachelor's degree in rhetoric from the University of California, Berkeley and a J.D. from the University of California, Los Angeles.

Additional Submitted Information: As the leader of NetApp's award-winning legal department, General Counsel Matt Fawcett has provided excellent leadership supporting the business through the establishment of a joint venture, strategically expanded his department's purview, spearheaded cost savings and innovative use of technology, and acted as a thought leader. Under Matt's leadership, NetApp Legal provided extraordinary legal support to a complex joint-venture (JV) between NetApp and China-based Lenovo. The multi-faceted global partnership positions the two companies to bring innovative technology and a simplified experience to customers looking to modernize their IT and accelerate their digital transformation. Led by Matt, Legal provided substantial support that enabled the formation of a new JV company in China to deliver storage products and data management solutions localized and tailored to meet China's specialized requirements and operate within their distinct cloud ecosystem. China's country-specific regulations added complexity for the legal team as they developed a JV that met the business's objectives, and aligned with the governance policies of both companies, as well as the Chinese government. In 2018, Matt also expanded the Legal team's purview to include a Government Relations function. Given NetApp's large U.S. Public Sector business, the company had long been conducting ad hoc government relations work in Washington D.C. Beginning in Spring of 2018, however, Matt himself led an effort to better understand how it could design and leverage a government relations function to proactively advance business objectives both domestically and internationally. In conjunction with this effort, Matt conducted benchmarking and exploratory conversations with a number of experts in the field. Since initiating their research on Government Relations functions, Matt has built a three-year roadmap to standing up a Government Relations function. The team is already executing on its Year 1 deliverables, and Matt is leading engagement with industry associations, developing a congressional matrix, articulating messaging, and defining a strategy for work onshore and overseas, with a particular emphasis on China. Matt and his team have hit the ground running for their first round of congressional meetings in early 2019. A longstanding advocate of doing things smarter rather than cheaper, Matt has demonstrated the ability to use resources efficiently. In 2018, he oversaw a cost savings of \$500,000 USD through a more strategic approach to the management of NetApp's patent annuities. NetApp Legal boasted over \$4M USD in savings via TAP workflows in 2018. Matt also presided over the launch of Robotic Process Automation (RPA) to simplify a high-volume contract management process. NetApp Legal was the first NetApp department outside of IT to leverage the RPA technology. The Legal Team's 'BOT,' which they nicknamed Bot-icelli, was trained to log into multiple applications, access email attachments, extract pertinent information related to partnership agreements, and upload that information into a database. Bot-icelli has enabled the Legal team to achieve a 600% faster upload time for contracts, freeing up legal resources not only for additional client work, but for additional process improvement projects. Matt has also overseen the implementation of another technology tool at NetApp: LexCheck. LexCheck speeds up contract drafting, revision, and negotiation through the use of automation. NetApp hopes to reduce first-stage human review of contracts by 100% via LexCheck, freeing up those resources for later stages of contract review and reducing the cost of task-switching in general. Standardized drafting of documents via automation will also reduce execution time by reducing the number of negotiation cycles and making sure that parties can clearly see areas of contracts with consistent pushback. During NetApp's pilot, they were able to use LexCheck's knowledge management tools to re-allocate NDA review to other team members with no downtime. LexCheck has the potential to greatly reduce the amount of time reviewers spend on contracts, with NetApp projecting a 33% reduction in document execution time once the project has matured. Matt is not only a thought leader at NetApp Legal, he also excels at team building, advocating for programs that focus on his team's overall well-being. He writes regularly on LinkedIn on topics related to work/life balance, and these efforts have led to a

broader reshaping of the sector-wide discourse on this topic. His commitment to his team's well-being extends far beyond the office. He has prioritized offsite meetings for the APAC team in India and the EMEA team in Germany in 2018 in order to facilitate team-building and highlight the importance of wellness, as well as leading these teams through deep dives into business and legal issues. His investment in these meetings is illustrative of his overall commitment to enabling his team members' success and well-being, both inside and out of the office.

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Name: Michael Dowdle

Job Title: SVP Business Affairs and General Counsel

Company: Bonneville International Corporation

LinkedIn: <https://www.linkedin.com/in/michael-dowdle-83270650/>

Professional Bio: <https://drive.google.com/file/d/1zjPukflGf5LDWIJhTocJGoZIT0JD2P-T/view?usp=sharing>

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Name: Michael Munro

Job Title: General Counsel

Company: Madonna Rehabilitation Hospital

LinkedIn: <https://www.linkedin.com/in/mike-munro-7b7328b1/>

Professional Bio: Variety and adaptability best describes Michael Munro's career. Currently into his 15th year as General Counsel for Madonna Rehabilitation Hospitals located in Lincoln and Omaha, Nebraska, Mr. Munro oversees the legal function for one of the nation's leading rehabilitation hospitals, which last year drew patents from 47 states. Mr. Munro began his career as in the property casualty insurance industry, overseeing commercial claim and coverage litigation for nearly ten years. He then served as General Counsel for a major international auction business, followed by a stint as General Counsel for an international golf course construction and development company. These varied fields have provided Mr. Munro vast experience in both business and the legal issues confronting them. A third generation lawyer and a 1987 Graduate of the University of Nebraska College of Law, Mr. Munro lives in Lincoln, Nebraska with his wife and two children. He is a Fellow in the American Bar Foundation and holds the highest possible rating for legal ability and ethics from his peers. In his spare time, Mr. Munro is an avid golfer, with frequent visits to Scotland.

Additional Submitted Information: I have been privileged enough to serve incredibly diverse businesses in my 28 years of practice, the past 22 as a General Counsel. From establishing a corporate auction affiliate in the Netherlands, to negotiating contracts with some of the nation's most prestigious golf clubs in the world, to advising some of the most dedicated innovative clinical rehabilitation experts, I have thrived on learning how my clients operate their businesses and how best to meet their legal needs. Rehabilitation, in particular, is an incredibly complex healthcare delivery system, from a regulatory standpoint. In an age of cost

containment and limited resources, it is vital to navigate the regulatory framework with accuracy and efficiency. Madonna Rehabilitation Hospitals are unmatched in their innovation, bringing hope and healing to countless individuals who have suffered spinal cord injuries, traumatic brain injuries and stroke. I am enormously proud of the work done by Madonna and take great joy in serving the legal needs of such a respected organization. Mike Munro

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Name: Michael Fahey

Job Title: Deputy General Counsel

Company: HomeServe USA Corp.

LinkedIn: <https://www.linkedin.com/in/faheymichael1/>

Professional Bio: Mike Fahey is a General Counsel with a successful career partnering with boards and management at all levels for both domestic and international B2B and B2C services companies. He is known as a motivational leader with strong strategic planning, team building, negotiating, business development and M&A skills. He excels at synthesizing complex risk and analytical assessments into readily understood assessments that form the foundation for major new initiatives. Summa Cum Laude and Phi Beta Kappa graduate. Bar Admissions, New York and England & Wales.

Additional Submitted Information: Over the last 20 years, as both internal ('02 to present) and external counsel at Simpson Thacher & Bartlett ('97-'02), I have worked on hundreds of M&A and strategic investment transactions, probably well over 1000 commercial contracts, served as Company Secretary and performed other governance functions, as well as a myriad of other corporate work - from securities filings to project finance to commercial debt and beyond. I have started legal departments and corporate business functions, building a strategic plan aligned with corporate objectives, in high growth companies. My work has brought me to and covered well over 20 countries in North America, South America, Europe, Asia and Africa. In fact, I spent almost a decade of my career living and working in Europe and South America, in addition to over 10 years in the U.S. I have built best-in-class teams and been recognized for my work, including being named a finalist (one of approximately 5) as M&A lawyer of the year in Europe. I have authored articles and presented focused on corporate legal matters and departments. Not only have I built up a strong resume on the corporate legal side, I have led businesses. For example, I started and was the on-the-ground leader at Affinion Brazil - based in Sao Paulo I spent 13 months launching this business, securing its first clients and launching two lines of business. I led a start-up executive search firm, bring it from 0 clients and revenue to over 10 clients and cash flow positive in less than 18 months. I ran private equity investments for a single family office. While these business experiences account for a minority of time in my corporate legal career (circa 4 years), they provided me business skills from analytics to business development to marketing, that ratcheted up my skills as a corporate attorney. Thank you for the nomination and to the committee for considering my submission.

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Name: Michael Richman

Job Title: General Counsel
Company: Capital Integration Systems ("CAIS")
LinkedIn:

Professional Bio: Michael is responsible for firm legal, compliance, and regulatory affairs at CAIS, the leading independent marketplace for financial products, servicing the global wealth management community. He has over 12 years of experience, with a focus on alternative investments and wealth management, having worked at firms including Fortress Investment Group and UBS. Michael received his JD from Fordham University Law School.

Additional Submitted Information: CAIS, the leading financial technology platform connecting financial advisors with alternative investments, announced that advisor adoption rates and total transactions as of May 2019 were up over 100% year to date, with fund volume increasing by over 200%. Additionally, the largest number of unique funds and strategies are being accessed by advisors in CAIS's history, demonstrating the power of the platform's holistic alternative investment solution. Additionally, CAIS received growth capital from a consortium of the leading alternative investment managers including Angelo Gordon, Canyon Partners, CIM Group, Crescent Capital, and GoldenTree Asset Management.

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Name: Michaela Jandova
Job Title: General Counsel
Company: Deloitte Central Europe Service Centre s.r.o.
LinkedIn: <https://www.linkedin.com/in/misajandova/>

Professional Bio: https://drive.google.com/file/d/1anOuJXmAPRT_ru2vXYAxtUjKsKh6n78f/view?usp=sharing

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Name: Michelle Fang
Job Title: Chief Legal Officer
Company: Turo Inc.
LinkedIn: <https://www.linkedin.com/in/michellerfang/>

Professional Bio: Michelle Fang serves as Vice President and Chief Legal Officer at Turo, the global leader in peer-to-peer car sharing, a fast growing trend that is transforming the economics of car ownership and disrupting the traditional car rental industry. She has supported the business's rapid expansion in the USA, Europe and Canada since joining the company in 2015 and has scaled her team from 0 to 11 during that time. Michelle's passion for online marketplaces started when she joined eBay in 2006, where she experienced the sense of community and purpose that marketplaces offer. During her 9-year tenure at eBay, Michelle served in a number of leadership positions, including Head of Global Intellectual Property, Head of North American Litigation, and most recently, General Counsel of StubHub. Earlier this year, The National Diversity Council named Michelle one of the 50 Most Powerful Women in Technology for her leadership on issues of diversity and inclusion in the legal profession. Prior

to her marketplaces career, Michelle worked in-house as a lawyer at NBC Universal and at the Quinn Emanuel law firm in Los Angeles. Michelle is a graduate of UC Berkeley School of Law and UCLA.

Additional Submitted Information: When I joined Turo as the only woman to serve on the Executive Leadership team reporting to the CEO, I launched the company's first affinity group for women. I also helped lead the successful effort to recruit the first woman to join Turo's Board of Directors. More recently, I have been investing substantial time speaking out and organizing others on the need for more diversity and inclusion in the legal profession- an area that has been too slow to progress. In December 2018, law firms began making partnership announcements. Some firms were notable in their lack of diversity. Wanting to turn my frustrations into direct action, I drafted an open letter to law firms demanding that they make improvements on diversity and inclusion or risk losing business and clients. I spearheaded a group of more than 170 General Counsels across small and large companies nationally to sign this open letter. <https://www.linkedin.com/feed/update/urn:li:activity:6495355575147335680>. This effort was picked up by the press and has sparked discussion globally. I was quoted on the front page of the New York Times <https://www.nytimes.com/2019/01/27/us/paul-weiss-partner-diversity-law-firm.html>, as well as in a number of other legal magazines such as American Lawyer Magazine <https://www.law.com/americanlawyer/sites/americanlawyer/2019/01/27/170-gcs-pen-open-letter-to-law-firms-improve-on-diversity-or-lose-our-business/> and Law 360 <https://www.law360.com/articles/1122640/gcs-open-letter-to-firms-serves-as-ultimatum-on-diversityhttps://www.linkedin.com/feed/update/urn:li:activity:6496512538845548544/> in the US, Europe and Australia. The coverage even spread to General Counsels outside the US, and this encouraged legal leaders in Europe to join this movement. <https://www.law.com/legal-week/2019/01/31/uk-gcs-to-set-out-diversity-commitment-for-law-firms-improve-or-lose-work/>. Since January, I have been working to gather more support for this initiative by establishing a group called General Counsel for Law Firm Diversity. Now more than 220 GCs have signed the letter on behalf of their companies and I am partnering with Diversity Lab to produce a list of 10 strategies in house legal departments can use to influence their law firm providers to make a stronger commitment to diversity and inclusion. Earlier this year, I also joined with legal leaders from 7 other technology companies to announce the first inaugural Law in Technology Diversity Collaborative. This initiative is designed to give women and underrepresented minority students an opportunity to spend their summer after their first year of law school working in-house at a tech company and with a high profile law firm. The aim of this program is to create a cohort of law students that can serve as a network into their future career as well as provide mentorship and sponsorship opportunities with an array of successful attorneys, with the ultimate goal of helping to ensure access to the best jobs after law school for this accomplished and diverse group of professionals.

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Name: Moses Vargas

Job Title: Vice President & General Counsel

Company: Connecticut Children's Medical Center

LinkedIn: <https://www.linkedin.com/in/moses-vargas-8b447375/>

Professional Bio: Moses Vargas, Esq. is Vice President and General Counsel for Connecticut Children's Medical Center. In this role, he oversees the Legal, Compliance and Risk Management Departments and provides legal advice and support to the operational departments of Connecticut Children's Medical Center, Connecticut Children's Specialty

Group, Inc., the CCMC School and all other CCMC Corporation affiliates. Prior to joining Connecticut Children's Medical Center, Moses worked as a health care transactional/regulatory lawyer for Robinson + Cole, LLP. He has an extensive background in health care law, and has authored several pieces in legal publications focused on HIPAA and patient privacy laws. Moses is a member of numerous professional organizations including the American Health Lawyers Association, Health Care Compliance Association, Connecticut Lawyers Association, and Health Law Section of Connecticut Bar Association. Moses obtained his Bachelor's Degree, Master of Social Work and Master of Business Administration degrees from the University of Utah and his Juris Doctorate from the University of Connecticut School of Law.

Additional Submitted Information: I try to thrive in every aspect of my job and am extremely passionate about the work my team performs. I currently oversee our Legal, Compliance and Risk Management Departments and am constantly balancing the various projects and issues that are sent to my team. The Legal Department is responsible for handling legal issues involving Board governance, mergers and acquisitions, contract drafting and review, patient privacy, employment, tax, tax exemption, intellectual property, medical staff privileging, peer review protection, hospital and provider licensure, malpractice defense, risk management, and billing and coding compliance. The Risk Management Department is intimately involved in the assessment of any patient safety event, performance of root cause analyses, as well as the implementation of proactive and reactive risk mitigation strategies. The Compliance Department oversees the implementation of our annual Corporate Compliance Plan which focuses on a few key elements: internal audit, patient privacy, conflict of interest, Hotline reporting, and research compliance. While overseeing these departments I have been fortunate enough to be exposed to other in-depth operational roles that allow me to provide business and administrative guidance to my fellow executive team members. These endeavors have challenged me to successfully balance my legal foundations with complex operational stakeholders. As a vice president, I have to think about ways to continue to improve our operational outcomes, while also making business decisions that accept risk. In a time of immense consolidation, it's imperative that I continue to focus on ways for our organization to be lean and trim so that we can always operate with maximum capacity and efficiency. I recently received the 2018 General Counsel Impact of the Year Award by the Connecticut Law Tribune. The American Health Leader magazine also recently wrote an article about my rise to becoming a Vice President and General Counsel of a major health care system by the age of 43.

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Name: Nicole Liebman

Job Title: General Counsel

Company: WithumSmith+Brown, PC

LinkedIn: <https://www.linkedin.com/in/nicole-liebman-6a061a5a/>

Professional Bio: https://drive.google.com/file/d/1Vke_OV4vG0Woz9_wZl4HZlQ2axE7CTcr/view?usp=sharing

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Name: Norman Wain

Job Title: General Counsel, Chief of Business & Legal Affairs

Company: USA Track & Field, Inc.

LinkedIn: <https://www.linkedin.com/in/norman-wain-a175332/>

Professional Bio: General Counsel, Chief of Business & Legal Affairs Norman Wain arrived at USA Track & Field in July of 2010. Wain oversees the USATF legal department (negotiating all contracts, managing corporate governance, handling anti-doping matters, overseeing litigation and intellectual property, managing the Agent program, etc). The legal department works with USATF staff and Board of Directors, the Law and Legislation Committee, the Ethics Committee and various other committees and constituencies to advance the goals and initiatives of the organization and protect its general legal interests. Before arriving at USATF, Wain worked as the Vice President - Corporate Legal Affairs at The Finish Line, Inc., a publicly traded, billion dollar, mall-based specialty retailer operating nearly 700 stores in 47 states across the country and online. Prior to Finish Line, he spent time in the business and legal affairs department at Writers & Artists Agency, Inc., a Los Angeles talent agency (subsequently acquired by Paradigm), and held stints at Fox Sports World and BigShot Films International. He also worked in private practice as a litigator in Santa Monica, Calif. Wain has been an expert presenter at many national and international conferences and symposiums and serves on the board of directors of the Sports Lawyers Association and Law In Sport (a UK-based international sports law publication). Locally, he is the President of Sports Circle Indy. He served two terms on the Association of Corporate Counsel (ACC) national board of directors. ACC is an international professional organization for in-house attorneys with 30,000+ members employed by over 10,000 organizations in more than 75 countries. Wain co-founded and served as the inaugural chair for the newly-formed ACC Sports & Entertainment Committee. He also works as an adjunct professor teaching sports law at Indiana University, and he has been published on various sports law and corporate law topics. Wain graduated Phi Beta Kappa from the University of California-Berkeley in 1992 and received his J.D. degree from the Pepperdine University School of Law in 1996.

Additional Submitted Information: Norm Wain is one of the most tenured in-house legal counsel in the Olympic Movement, and is very deserving of a prestigious ONCON Award. Throughout his time at USA Track & Field (USATF), he has worked to elevate the profile of the sport of track and field, change the dynamic of the relationship between the sport and its existing network broadcaster providers, and helped guide the organization toward unprecedented growth. He is a highly valued and trusted member of the USATF senior management team, and his insights are frequently sought out by national governing body leaders and legal counsel throughout the Olympic Movement. On the governance front, Wain's thoughts, ideas and sharing have had profound ramifications on both the domestic and international fronts. For example, the USATF authorized athlete representative program is one of the preeminent agent programs in all of amateur sports. In fact, the International Association of Athletics Federation (IAAF), which is the international governing body for the sport of track and field, is in the process of modeling its international agent program on the ideas implemented by USATF. In addition to the IAAF, Wain has consulted with multiple national governing bodies and the NCAA on this front. With regard to corporate governance, USATF has also stood tall on both the national and international stage. Multiple studies have lauded USATF as a governance leader when compared to other national governing bodies as well as other international sports federations. These ideas have been incredibly instrumental in the current SafeSport climate. As a result of his private sector legal experience running the legal department of a publicly traded company, Wain has taken a measured conservative approach to non-profit corporate governance. Whether it be on the advocacy or the policy side, Wain has been instrumental in keeping USATF away from the high profile, negative headlines currently facing many of the nation's national governing bodies and guided USATF toward proactive practices in line with industry best practices. As a result of these successes, Wain has become

a thought leader on these topics, and is routinely asked to speak at major national and international conferences. With regard to anti-doping, Wain has been equally strong at implementing proactive measures that national governing bodies should take in order to foster constant communication with athletes, while providing the most updated information to USATF athletes, agents and coaches. These ideas remain at the forefront of the Olympic Movement. Lastly, Wain sits on the national board of directors for the Sports Lawyers Association, an international professional sports law organization and he sits as an advisory board member for Law In Sport, a leading international sports law publication. He served two terms on the national board of directors for the Association of Corporate Counsel, where he was the co-founder of its Sports & Entertainment Law Committee. He has also taught as an adjunct sports law professor for over 15 years. With lots of highly qualified individuals to consider for these awards, please know that Wain is very grateful for the time and the consideration and would feel extremely honored by the recognition.

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Name: Otis Carter

Job Title: General Counsel, Corporate Secretary

Company: TriMark USA

LinkedIn: <https://www.linkedin.com/in/otis-carter-029829/>

Professional Bio: Otis Carter serves as General Counsel and Corporate Secretary of TriMark USA, where he oversees all legal and corporate governance functions. TriMark was acquired by Audax in 2006 and sold to Warburg Pincus in 2014 before subsequently being sold to Centerbridge Partners and Blackstone in 2017. Prior to joining TriMark, Otis served as Managing Director and General Counsel to a family office, and as a private equity attorney with the Chicago offices of Kirkland & Ellis LLP and Ropes & Gray LLP. Otis received his J.D. from the Washington University in St. Louis, his B.A. from Illinois Wesleyan University and is currently obtaining his MBA at The Wharton School at the University of Pennsylvania.

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Name: Philippe Delsaut

Job Title: VP Chief Counsel

Company: Eaton Plc

LinkedIn: <https://www.linkedin.com/in/philippedelsaut/>

Professional Bio: <https://drive.google.com/file/d/1W8wOYUAIHUXgO98-Q8aHhiX9ETpA0aQ9/view?usp=sharing>

Additional Submitted Information: Was able to lead and develop teams active in various areas of the business while delivering results that matter for the employees and the organisation as a whole. Focused on running functional departments as a business by developing a purpose, vision and strategy that mattered to the team members while aligned with the organisations goals. Focused on legal operations so that we could easily track progress of goals and key strategic initiatives while demonstrating value to the businesses. Created and environment and team of true business partners that are fully integrated with the

business leadership teams and are helping with the implementation of the organisation's strategy.

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Name: Richard Cohen

Job Title: President and General Counsel

Company: Corporate Creations

LinkedIn: <https://www.linkedin.com/in/rich-cohen-15389b1/>

Professional Bio: Rich Cohen is President and General Counsel of Corporate Creations International Inc. Rich has more than 35 years of legal, business and consulting experience focused on providing the most efficient and creative legal services to clients through blends of people, process and technology. Prior to joining Corporate Creations, Rich served as a Managing Director of Duff & Phelps and President of RenewData Corp. He has held senior positions with The Garden City Group and LexisNexis, and he has served as General Counsel of Ohio Power and Columbus Southern Power. Rich has been recognized as an AV Preeminent rated attorney every year since 1998. Rich serves as Editor in Chief of The Electricity Journal. He is a recipient of the Corporate Legal Times Distinguished Legal Service Award. He has served as President of Association of Corporate Counsel Central Ohio, on the Board of Advisors for Bryan University, and as Co-Chair of the Mass Tort Subcommittee of American Bankruptcy Institute. Rich is a member of Ohio State Bar Association and Authorized House Counsel in Florida. He graduated from State University of New York at Buffalo and from University of Akron School of Law. Rich and his family reside in Weston, Florida.

Additional Submitted Information: I have always approached my General Counsel responsibilities navigating with the right blend of being both a partner and a guardian of our business. Lawyers are problem solvers and having a keen understanding of what the business needs to succeed is imperative. I regularly "work" in the business to understand the day to day success factors and challenges in order to sharpen legal service delivery. I also believe that I should spend time working "on" the business as well as working "in" the business. I am frequently engaged in strategic discussions to better articulate what problem we solve. I define success and then work backwards so that legal service delivery is aligned with overall company goals. Finally, I believe in the delivery of law through the process of equifinality- helping businesses achieve the same end through different means. By helping identifying alternative paths to client success, we become a department of "know" and not "no".

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Name: Richard Frey

Job Title: Chief Legal & Chief Administrative Officer

Company: SICPA Group

LinkedIn:

Professional Bio: https://drive.google.com/file/d/1aGZOp8_gp1ikRZGp94r8ajxynR74Ve1M/view?usp=sharing

Additional Submitted Information: Key accomplishment in General Management: Building up of high performance and inter-operable Corporate Functions (Legal, IP, HR, Communications), with the right people, tools and processes, closely aligned to business/innovation and serving as a strong platform for group transformation. Key accomplishment in Legal: Building up of cutting-edge business ethics initiative (www.bnei.com), with strong charter and independent third party audit framework, bringing value-add and change to the industry as a whole. Key accomplishment in Intellectual Property management: Building up of innovation management, total overhaul of network (service providers, tools and processes), focusing on high value and low costs (externalized IP back office in lower cost jurisdiction but with highly qualified staff linked to strict performance management). This model, now tested for some years, has the potential to be of great interest to other corporations, former Head IP is now externalized (separate company) so advantages of this model can be explained and offered to other interested companies as well.

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Name: Richard Sedory

Job Title: General Counsel

Company: Wastequip

LinkedIn: <https://www.linkedin.com/in/richard-sedory-533725/>

Professional Bio: Richard Sedory is General Counsel, Senior Vice President and Secretary for Wastequip, LLC. As Chief Legal Officer, he is responsible for overseeing and advising the leading North American manufacturer of waste handling and recycling equipment on all aspects of legal affairs, compliance, risk and defense. Prior to joining Wastequip, Rich was General Counsel for Transtar Industries and Axiom Automotive Technologies, and held senior Legal, Human Resources and Administrative positions at United Technologies, PNC Bank and the University of Pittsburgh Medical Center. Rich is on the Executive Committee for the Board of Directors for the University of Pittsburgh Alumni Association, Board Secretary for the Association of Corporate Counsel Charlotte Chapter and chairs the ACC Charlotte Career Development Committee. He is also a Director for Patriot Container Corp, a member of the National Association of Corporate Directors and regularly presents at numerous continuing legal education seminars and cheerfully volunteers for pro bono and charity activities in the Charlotte area. He has been published in the International In-House Counsel Law Journal and Modern Counsel magazine. Further, Rich was awarded the Charlotte Business Journal "General Counsel of the Year" in 2018. Rich received his JD from the University of Pittsburgh School of Law and has dual undergraduate degrees in Business and Political Science from the University of Pittsburgh. Rich and his wife Shelly reside in Charlotte, NC. They have two children, Sam and Holly, who are both Clemson grads.

Additional Submitted Information: As the chief legal officer for the company, I perform traditional responsibilities such as contract drafting, negotiations and review, litigation and risk management, employment and labor matter resolution, compliance governance, and intellectual property protection and prosecution. I am also the go-to resource for timely and effective advice and counsel to mitigate risk and prevent legal problems from arising. Moreover, as a trusted member of the executive team, I am often the senior advisor on business decisions that impact both day-to-day operations and long-term objectives, such as merger and acquisition targets, human resource capital decisions, and other strategic initiatives. I play

a key role in employee relations, serving as the internal lead for our employee hotline where I receive all employee contacts, concerns and safety-related issues. I work closely with our HR and health and safety group to address any issues and create an environment of continuous improvement, both in our processes and our employee engagement and retention. I manage our real estate leasing portfolio, including setting negotiation and renewal strategies for our facilities across North America, Canada and Mexico. I establish our company's corporate social responsibility policies and programs and lead Wastequip's many community relations efforts, identifying, engaging with and serving as liaison to many charitable organizations and foundations. In summary, my responsibilities cut across many disciplines, allowing me the opportunity to be a key strategic leader in many aspects of the company not traditionally associated with the GC/CLO role. Ultimately, my duties encompass anything and everything that hits my desk – which is what I love about my job and makes Wastequip one of Charlotte's Best Places to Work. However, more than the assigned responsibilities that come with title attainment, I believe that through consistently acting with honesty, integrity, passion and respect (and a healthy sense of humor), I have demonstrated the leadership traits that are integral to and valued by any employer, and become the trusted advisor, counselor and leader to Wastequip and our team.

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Name: Richard Heller

Job Title: Sr. Vice President & General Counsel

Company: Legal Sea Foods, LLC

LinkedIn: <https://www.linkedin.com/in/richard-heller-61a46324/>

Professional Bio: See LinkedIn.

Additional Submitted Information: I have served as In House Counsel for 16 years. During that time, I have collaborated with members of the Senior Team to provide creative solutions to enhance the Company's reputation as an innovator in the restaurant industry while at all times maintaining the Company's reputation for the quality and integrity of its operation. In a challenging labor and regulatory environment, the business leaders have come to the Legal Department to provide readily achievable solutions with regard to the management of its restaurants, In addition, as a Board Member of JVS, a non-profit organization devoted to workforce development and training, I have promoted ESOL training by JVS to the Company's employees. Attending graduation of the Company's employees upon successful completion of the ESOL studies has been one of my highlights during my tenure. Also, during my 16 years, I have developed expertise in restaurant lease negotiations in response to the creativity and leadership of the Company's CEO. As a result of this expertise, I have presented at numerous legal conferences.

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Name: Richard Wingate

Job Title: VP and General Counsel

Company: LG Electronics USA , Inc

LinkedIn: <https://www.linkedin.com/in/richard-wingate-4112bb1/>

Professional Bio: See LinkedIn.

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Name: Royce Warrick

Job Title: Sr. Vice President, General Counsel, Corporate Secretary

Company: Solenis

LinkedIn: <https://www.linkedin.com/in/royce-warrick-17511913/>

Professional Bio: Royce Warrick is senior vice president, general counsel and corporate secretary for Solenis. She is responsible for managing the company's legal and governance matters, and for partnering with the Solenis business team to enhance growth. Prior to joining Solenis in 2014, Warrick served as assistant general counsel and global corporate legal director overseeing legal affairs of strategic business units at The Dow Chemical Company and Rohm and Haas Company. Beginning her legal career as a litigation attorney, she later expanded her scope of experience to include business law, ethics and compliance, mergers and acquisitions, antitrust, employment law, information technology and corporate secretarial/governance, moving from law firm practice to work at Rohm and Haas and Dow Chemical.

Warrick holds a bachelor's degree from Duke University and a juris doctor degree from Villanova University Charles Widger School of Law. Warrick is an active member of her community where she is a founding leader of her church's College Prep Ministry, and a founder and trustee of the Roy Blair Paige Foundation, a non-profit organization, the mission of which is to enrich the development of servant-leader youth committed to community-uplift. She is also a former board member of the STEM-focused Invent Now Kids®, a subsidiary of the National Inventors Hall of Fame Foundation commissioned by the U.S. Patent and Trademark Office.

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Name: Sabrina Rusnak-Carlson

Job Title: General Counsel and Chief Compliance Officer

Company: THL Credit Advisors LLC

LinkedIn: <https://www.linkedin.com/in/sabrina-rusnak-carlson-4961785/>

Professional Bio: THL Credit Advisors LLC (November 2015 to present) -General Counsel (November 2015 to present) -Chief Compliance Officer (February 2018 to present) Proskauer Rose LLP (September 2006 to November 2015) -Partner (November 2014 - November 2015) - Associate (September 2006 - November 2014) -Co-Chair of Proskauer Women's Alliance Nutter McClennan & Fish LLP (August 2005 to September 2006) -Associate Triage Consulting Group (August 2001-September 2002) -Financial Consultant Boston University School of Law, Juris Doctor, Cum Laude Georgetown University, B.S. Business Administration, Cum Laude

Additional Submitted Information: Ms. Rusnak-Carlson has actively been involved in the advancement of women in both the legal and finance industry in her role as an attorney at Proskauer Rose and as General Counsel and CCO of THL Credit Advisors LLC. As an associate, Ms. Rusnak-Carlson began developing networking events for women in private debt as a third year associate. Each year the network grew expanding into events in both Boston

and New York. She also co-chaired the Proskauer Women's Alliance, building it into an active firm organization that developed key programs, specifically the Sponsorship Program, that has since received nationwide recognition. When she was invited by a client of the firm, THL Credit Advisors LLC, to join as General Counsel in late 2014, she founded Women in Alternative Debt ("WIAD"), an organization focused on continuing the existing network she had developed as an attorney in private practice. WIAD, through Sabrina's leadership, conducts regular events, including dinners, breakfasts, etc. that focus on soft skill building, information sharing and relationship building. She has also worked with LPGP Connect, a conference organizer, to develop conferences for women in private debt. To date, 2 conferences have occurred in NY in past year and half, and 1 conference in London whereby Sabrina played an integral role in developing the content and agenda for each such conference. Mr. Rusnak-Carlson's contributions to THL Credit as a thoughtful adviser has led her firm grow substantially in the past three years, realizing growth of greater three times its AUM as of commencement of her role. She has led and developed both the legal and compliance programs during this period of growth in AUM, products and strategies. Most recently, Sabrina completed an SEC exam whereby THL Credit Advisors LLC and THL Credit, Inc. received no comments from the SEC (less than 10% of registered advisers receive a "no comment" letter from an SEC exam). In 2018, Sabrina was recognized by Corporate Counsel as the recipient of the National Women in Law Award for the category of In-House, Finance.

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Name: Scott Thayer

Job Title: Chief Legal Officer and Corporate Secretary

Company: Dawn Food Products

LinkedIn: <https://www.linkedin.com/in/scott-thayer-4889b38/>

Professional Bio: Mr. Thayer serves as the Chief Legal Officer and Corporate Secretary for Dawn Foods, Inc., a private family owned global business with revenues approaching \$2B, that specializes in the manufacturing and distribution of sweet baked goods and ingredients. As a member of the Leadership Team, Mr. Thayer is responsible for providing strategic guidance and leadership, and effectively managing and mitigating risk, in addition to overseeing global legal services for Dawn Foods. In addition, he serves as Secretary to the Board of Directors. With over thirty years of broad commercial, supply chain and operational/manufacturing legal experience at leading CPG Companies, and significant experiences with both public and private company governance, Mr. Thayer is both a business partner and trusted advisor on legal and business matters, who has experience addressing governance and compliance related matters in both private family owned, and public company businesses.

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Name: Stephen Roth

Job Title: Vice President & General Counsel

Company: JTV

LinkedIn: <https://www.linkedin.com/in/stepheneroth/>

Professional Bio: Stephen E. Roth is Vice-President & General Counsel for JTV, a national jewelry retailer. Prior to joining JTV, Steve was a shareholder at Baker Donelson, where he served on the firm's board of directors, as a practice group chair and as an office managing partner.

Steve has been a leading member of the in-house legal community for many years. He has served in a variety of leadership roles with the Law Department Management Network (section) of the Association of Corporate Counsel (ACC), including serving as Chair of the Network in 2017-2018. The ACC's membership spans 85 countries on 5 continents.

Under Steve's leadership, LDM received the ACC's Outstanding Large Network of the Year Award. In 2018, the ACC honored Steve's efforts by presenting him with the Robert I. Townsend Member of the Year Award, the ACC's highest honor.

Steve has a special passion for providing leadership development opportunities for general counsel, in-house lawyers and law students. Steve is a frequent presenter at ACC annual meetings on leadership topics, having organized and presented at highly reviewed sessions on emotional intelligence, executive presence, and grit and resilience. In 2019, he will chair and speak on a panel concerning management skills. He has frequently contributed to ACC publications and blogs on leadership topics.

Steve also actively supports leadership training for law students. He serves on the Advisory Board of the Professional Leadership Institute at the University of Tennessee's George C. Taylor Law Center and frequently presents to classes there on in-house practice and reporting and presentation skills.

Steve received his B.A. and J.D. degrees from University of Tennessee where he was a member of Phi Beta Kappa and the Order of the Coif.

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Name: Summit Seam

Job Title: General Counsel

Company: Save the Children USA

LinkedIn: <https://www.linkedin.com/in/sumeet-seam-b6ab962a/>

Professional Bio: Sumeet Seam joined Save the Children USA as General Counsel in May 2015. He is a member of the senior management team and is charged with managing all legal affairs of the organization. In addition, he oversees the functions of child safety, employee safety and security, procurement and enterprise risk management. He also serves as the Chair of the organization's Diversity & Inclusion Council.

Prior to that, Seam has 16 years of legal experience in public and private company settings.

Most recently before joining Save the Children, Seam was responsible for managing the U.S. Corporate Legal Department for Discovery Communications. In his role at Discovery, Seam negotiated senior level transactions and advised executive management for all U.S. networks and key corporate divisions on all legal affairs. Prior to managing the department, Seam was Discovery's lead attorney for business development, advertising sales, global media

technology, digital media, information technology, facilities and leasing, marketing, communications and research.

Prior to Discovery, Seam was an associate at Hogan & Hartson negotiating agreements on a range of transactions for corporate clients including private equity investments, mergers and acquisitions and bank loan agreements.

He holds a Juris Doctorate from Columbia University Law School in New York and a Bachelor's of Arts in International Affairs from The George Washington University.

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Name: Trey Blalock

Job Title: Chief Development Officer/Chief Legal Officer

Company: Health Care Navigator

LinkedIn: <https://www.linkedin.com/in/trey-blalock-2261019/>

Professional Bio: <https://drive.google.com/file/d/1n8F4Wh7cVSHtQZ45iBDzjPOayNxfXFn/view?usp=sharing>

Additional Submitted Information: Trey Blalock is the Chief Development Officer and Chief Legal Officer for Health Care Navigator and its affiliates. He spearheads all transactional efforts, is responsible for developing, evaluating and executing all acquisition, disposition, construction, and investment and growth opportunities, and leads all strategic initiatives. Trey also provides HCN senior management with counsel and direction regarding significant legal events and oversees a team of lawyers, paralegals and other professionals that supports and assists HCN affiliates in matters related to licensure, litigation, corporate structuring, contract negotiation, survey response and risk management. Trey has served as primary counsel on numerous acquisitions and dispositions of health care properties (including skilled nursing, assisted living and congregate care facilities) and related ancillary businesses. He has represented owners and operators of health care companies in connection with asset sales, stock sales, operations transfers, mergers, financings, corporate structuring and competitive bid transactions, and has managed due diligence and regulatory compliance assessments. Since 2014, he has led the successful reorganization of two sizable operating companies under Chapter 11 of the U.S. Bankruptcy Code, which included complex negotiations with unsecured creditors' committees and tort claimants. Prior to joining HCN, Trey was in private practice for over 25 years and was a partner with several major law firms. His practice was primarily transactional, focusing on mergers and acquisitions, securities issuances and structured finance with companies in the financial services, government contracting, health care, energy and technology industries. He worked with companies of all sizes, including early stage, pre-revenue ventures, well-established middle market enterprises, and Fortune 1000 companies, and is familiar with the issues confronting businesses at all stages of development. He was often called upon to advise on matters ranging from negotiation strategy, business implementation and risk assessment to capital formation, corporate governance and disclosure. His broad areas of expertise include strategic planning, restructuring, M&A, problem-solving, management, market development, business growth, and leadership. Trey received his bachelor of arts (economics) degree, cum laude, from the University of California, Los Angeles in 1980. He received his juris doctor degree, magna cum laude, from Tulane Law School in 1983, and served on the Board of Editors of The Tulane Law Review. He is admitted

to practice in New York, California, Texas and the District of Columbia, and complies with continuing legal education requirements of the New York State Bar Association. He frequently serves as an arbitrator in customer and industry disputes under the FINRA Dispute Resolution program.

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Name: Valerie Banner

Job Title: Senior Vice president, General counsel and Secretary

Company: Exterran Corporation

LinkedIn: <https://www.linkedin.com/in/valerie-banner-2708a942/>

Professional Bio: <https://drive.google.com/file/d/1RdcFshIHfdVamhPwL7vs4ZJEHGikkw9f/view?usp=sharing>

Additional Submitted Information: For more than three decades, I have worked with CEOs, corporate executives and corporate boards across multiple regulated and unregulated industries, primarily in the energy, manufacturing, industrial services and financial services sectors. During many of those years I have been (and currently am) a member of the executive leadership team, working within large integrated companies as well as mid- and small-cap public companies in the United States and globally. As part of my global risk management, I've successfully completed an international arbitration and settlement with the Republic of Venezuela for expropriated business operations and assets valued in excess of US\$600 million and managed a SEC financial restatement and investigation process; I've also been responsible for a substantial portion of a merger of equals involving two publicly traded companies as well as a spin transaction dividing two publicly traded companies into three. As part of my risk mitigation plan, I developed a global business risk assessment and management program and a program to protect and maintain the proprietary nature of Exterran's technical expertise. In my roles as both a member of executive management teams and as a board member of Main Street Capital Corporation, my reporting lines and oversight have included risk management, regulatory/compliance, governance, M&A, financial transactions and crisis management. In addition, I'm solely responsible for Exterran's shareholder engagement program, and founder and champion of its and Main Street's women's initiatives, created to develop diverse talent within both organizations. In addition to my management and board roles, I'm Co-Chair of the Petroleum Equipment & Services Association ESG Committee, leading the trade organization's development of its members expertise in the evolving ESG frameworks, reporting and interests of institutional investors, customers and other stakeholders.

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